

From the INTERNATIONAL BUREAU

**PCT****NOTIFICATION OF ELECTION**

(PCT Rule 61.2)

To:

Commissioner  
US Department of Commerce  
United States Patent and Trademark  
Office, PCT  
2011 South Clark Place Room  
CP2/5C24  
Arlington, VA 22202  
ETATS-UNIS D'AMERIQUE

in its capacity as elected Office

Date of mailing (day/month/year)

17 April 2001 (17.04.01)

International application No.

PCT/DK00/00443

Applicant's or agent's file reference

P 00 052 WO

International filing date (day/month/year)

09 August 2000 (09.08.00)

Priority date (day/month/year)

09 August 1999 (09.08.99)

Applicant

TC ELECTRONIC A/S et al

1. The designated Office is hereby notified of its election made:



in the demand filed with the International Preliminary Examining Authority on:

06 March 2001 (06.03.01)



in a notice effecting later election filed with the International Bureau on:

2. The election ☒ was

was not

made before the expiration of 19 months from the priority date or, where Rule 32 applies, within the time limit under Rule 32.2(b).

The International Bureau of WIPO  
34, chemin des Colombettes  
1211 Geneva 20, Switzerland

Facsimile No.: (41-22) 740.14.35

Authorized officer

Charlotte ENGER

Telephone No.: (41-22) 338.83.38

## PCT

## INTERNATIONAL SEARCH REPORT

(PCT Article 18 and Rules 43 and 44)

Applicant's or agent's file reference <b>P 00 052 WO</b>	<b>FOR FURTHER ACTION</b> see Notification of Transmittal of International Search Report (Form PCT/ISA/220) as well as, where applicable, item 5 below.	
International application No. <b>PCT/DK 00/00443</b>	International filing date (day/month/year) <b>09/08/2000</b>	(Earliest) Priority Date (day/month/year) <b>09/08/1999</b>
Applicant  <b>TC ELECTRONIC A/S</b>		

This International Search Report has been prepared by this International Searching Authority and is transmitted to the applicant according to Article 18. A copy is being transmitted to the International Bureau.

This International Search Report consists of a total of 3 sheets.

☒ It is also accompanied by a copy of each prior art document cited in this report.

## 1. Basis of the report

- a. With regard to the **language**, the international search was carried out on the basis of the international application in the language in which it was filed, unless otherwise indicated under this item.

☐ the international search was carried out on the basis of a translation of the international application furnished to this Authority (Rule 23.1(b)).

- b. With regard to any **nucleotide and/or amino acid sequence** disclosed in the international application, the international search was carried out on the basis of the sequence listing :

☐ contained in the international application in written form.

☐ filed together with the international application in computer readable form.

☐ furnished subsequently to this Authority in written form.

☐ furnished subsequently to this Authority in computer readable form.

☐ the statement that the subsequently furnished written sequence listing does not go beyond the disclosure in the international application as filed has been furnished.

☐ the statement that the information recorded in computer readable form is identical to the written sequence listing has been furnished

2. ☐ **Certain claims were found unsearchable** (See Box I).

3. ☐ **Unity of invention is lacking** (see Box II).

4. With regard to the **title**,

☒ the text is approved as submitted by the applicant.

☐ the text has been established by this Authority to read as follows:

5. With regard to the **abstract**,

☒ the text is approved as submitted by the applicant.

☐ the text has been established, according to Rule 38.2(b), by this Authority as it appears in Box III. The applicant may, within one month from the date of mailing of this international search report, submit comments to this Authority.

6. The figure of the **drawings** to be published with the abstract is Figure No.

☒ as suggested by the applicant.

☐ because the applicant failed to suggest a figure.

☐ because this figure better characterizes the invention.

**3a** \_\_\_\_\_

☐ None of the figures.

## INTERNATIONAL SEARCH REPORT

International Application No

PCT/DK 00/00443

**A. CLASSIFICATION OF SUBJECT MATTER**  
IPC 7 G10H1/00

According to International Patent Classification (IPC) or to both national classification and IPC

**B. FIELDS SEARCHED**

Minimum documentation searched (classification system followed by classification symbols)

IPC 7 G10H

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

EPO-Internal, WPI Data

**C. DOCUMENTS CONSIDERED TO BE RELEVANT**

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	US 4 731 848 A (KENDALL GARY ET AL) 15 March 1988 (1988-03-15) column 5, line 48 -column 7, line 47; figure 2A ---	1-26
A	US 5 585 587 A (TORIMURA HIROYUKI ET AL) 17 December 1996 (1996-12-17) column 2, line 46 -column 3, line 58 column 4, line 27 -column 5, line 65; figures 1,2 ---	12-26
A	US 5 452 360 A (SHIMIZU YASUSHI ET AL) 19 September 1995 (1995-09-19) column 6, line 5 - line 60; figures 8-11 --- -/--	12-26



Further documents are listed in the continuation of box C.



Patent family members are listed in annex.

## \* Special categories of cited documents :

- \*A\* document defining the general state of the art which is not considered to be of particular relevance
- \*E\* earlier document but published on or after the international filing date
- \*L\* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- \*O\* document referring to an oral disclosure, use, exhibition or other means
- \*P\* document published prior to the international filing date but later than the priority date claimed

\*T\* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

\*X\* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

\*Y\* document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.

\*&\* document member of the same patent family

Date of the actual completion of the international search

24 November 2000

Date of mailing of the international search report

01/12/2000

Name and mailing address of the ISA

European Patent Office, P.B. 5818 Patentlaan 2  
NL - 2280 HV Rijswijk  
Tel. (+31-70) 340-2040, Tx. 31 651 epo nl,  
Fax: (+31-70) 340-3016

Authorized officer

Pulluard, R

## INTERNATIONAL SEARCH REPORT

International Application No

PCT/DK 00/00443

## C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	ROCCHESO D ET AL: "CIRCULANT AND ELLIPTIC FEEDBACK DELAY NETWORKS FOR ARTIFICIAL REVERBERATION" IEEE TRANSACTIONS ON SPEECH AND AUDIO PROCESSING,US,IEEE INC. NEW YORK, vol. 5, no. 1, 1997, pages 51-63, XP000785329 ISSN: 1063-6676 page 61, left-hand column, line 6 -right-hand column, line 48 -----	12-26
A	EP 0 593 228 A (MATSUSHITA ELECTRIC IND CO LTD) 20 April 1994 (1994-04-20) column 3, line 11 -column 4, line 43 column 8, line 38 -column 9, line 25; figures 1,2,5 -----	12-26

# INTERNATIONAL SEARCH REPORT

Information on patent family members

International Application No

PCT/DK 00/00443

Patent document cited in search report		Publication date	Patent family member(s)	Publication date
US 4731848	A	15-03-1988	AT 57281 T DE 3580035 D EP 0207084 A JP 62501105 T WO 8602791 A	15-10-1990 08-11-1990 07-01-1987 30-04-1987 09-05-1986
US 5585587	A	17-12-1996	JP 7092968 A US 5771294 A	07-04-1995 23-06-1998
US 5452360	A	19-09-1995	JP 2569872 B JP 3254298 A	08-01-1997 13-11-1991
EP 0593228	A	20-04-1994	DE 69327501 D JP 7168587 A US 5467401 A	10-02-2000 04-07-1995 14-11-1995

(12) INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(19) World Intellectual Property Organization  
International Bureau



(43) International Publication Date  
15 February 2001 (15.02.2001)

PCT

(10) International Publication Number  
**WO 01/11602 A1**

(51) International Patent Classification<sup>7</sup>: **G10H 1/00**

(21) International Application Number: **PCT/DK00/00443**

(22) International Filing Date: **9 August 2000 (09.08.2000)**

(25) Filing Language: **English**

(26) Publication Language: **English**

(30) Priority Data:  
99202585.8 9 August 1999 (09.08.1999) EP  
00201759.8 18 May 2000 (18.05.2000) EP

(71) Applicant: **TC ELECTRONIC A/S [DK/DK]; Sindalsvej 34, DK-8240 Risskov (DK).**

(72) Inventors; and

(75) Inventors/Applicants (for US only): **CHRISTENSEN,**

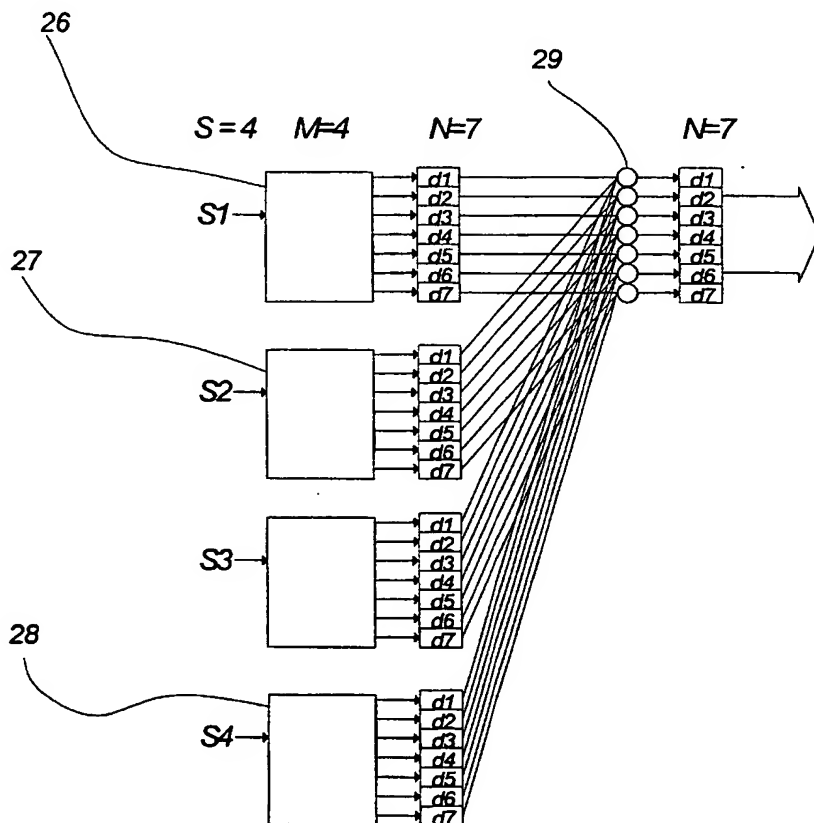
**Knud, Bank [DK/DK]; Skovvej 2, DK-8550 Ryomgaard (DK). PEDERSEN, Kim, Rishøj [DK/DK]; Skæring Sandager 54, DK-8250 Egå (DK). LAVE, Morten [DK/DK]; Jelshøjvænget 30, DK-8270 Højbjerg (DK).**

(74) Agent: **PATENTGRUPPEN APS; Arosgaarden, Aaboulevarden 23, DK-8000 Aarhus C (DK).**

(81) Designated States (*national*): AE, AG, AL, AM, AT, AT (utility model), AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CR, CU, CZ, CZ (utility model), DE, DE (utility model), DK, DK (utility model), DM, DZ, EE, EE (utility model), ES, FI, FI (utility model), GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SK (utility model), SL, TJ, TM, TR, TT, TZ, UA, UG, US, UZ, VN, YU, ZA, ZW.

[Continued on next page]

(54) Title: **MULTI-CHANNEL PROCESSING METHOD**



(57) Abstract: The invention relates to an audio signal format comprising N components (d1, d2, d3, ... dN), each of the said components (d1, d2, d3, ... dN) representing a direction. According to a preferred embodiment of the invention, the components should preferably be uncorrelated to the extent that no panning is established between the signals contained in the format.

WO 01/11602 A1



(84) **Designated States (regional):** ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).

**Published:**

— With international search report.

— Before the expiration of the time limit for amending the claims and to be republished in the event of receipt of amendments.

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

**MULTI-CHANNEL PROCESSING METHOD****Field of the invention**

The invention relates to a format of audio signals according to claim 1 and a method of processing audio signals according to claim 9.

5

Moreover, the invention relates to a method of processing audio signals according to claim 12, a method of processing audio signals according to claim 13, a method of representing an audio signal (AS), said method according to claim 14, a  
10 method of decoding a number (M) of directional components () into a, preferably lower, number (N) of directional components according to claim 17, a rendering system comprising at least one input for receiving a number (M) of directional components (DC) according to claim 18 and a multi-channel data carrier according to claim 23

15

**Background of the invention**

Audio processing and audio rendering are well-known within the art.

An audio rendering system may typically imply a sound generating unit, e.g. a CD or  
20 DVD player and an associated amplifier and loudspeaker system.

A problem of the rendering known within the art is that the systems are inflexible with respect to a possible desired changing of the rendering method. Thus, a change from e.g. a two channel stereo rendering into a five channel cinema rendering will  
25 typically infer serious technical problems, and the quality of an obtainable rendering may be questioned.

However the number of outputs and the preferred final speaker arrangement most often determines how the input signals are being processed, as it is imperative that  
30 the sound is modified according to that certain speaker arrangement for the sound engineer to give the listener the desired experience.



Consequently sound reproduction is very constrained by the fact that it is necessary almost from the beginning to the end of the process to consider which sound format and speaker arrangement will be used eventually. And thereby lots of opportunities and properties of the sound are lost almost from the start.

5

One of the consequences is that true reverberation or room simulation is almost impossible to achieve by prior art audio formats.

Another aspect is, that having more sound reproduction formats, including stereo-  
10 phonic formats, surround sound formats and future multi-channel formats, it is necessary to record and process the sound differently according to each reproduction format.

Preferably there would be some method to record, modify and mix the input signals  
15 without having to consider which reproduction format to use. Then all the heavy and significant work on the input signals could be done once, no matter what equipment the eventual listener intends to use.

It is one object of the invention to provide a method for multi-channel sound proc-  
20 essing where it is unnecessary to possess knowledge of the final sound reproduction format.

### **Summary of the invention**

25 The invention relates to an audio signal format comprising

N components (d1, d2, d3, ... dN) according to claim 1,

each of the said components (d1, d2, d3, ... dN) representing a direction, said com-  
30 ponents preferably being uncorrelated.

A component may comprise an accumulation of zero or more signals in a direction defined by said component.

5 According to a preferred embodiment of the invention, the components should preferably be uncorrelated. At least to the extent that no panning or dependency is established between the signals contained in the format.

10 It should be noted, that the signal format according to the invention may be supplemented by further signal components or signal representations within the scope of the invention.

When, as stated in claim 2, N components ( $d_1, d_2, d_3, \dots d_N$ ), where N is at least 3, a further advantageous embodiment of the invention has been obtained.

15 When, as stated in claim 3, N is at least 10, preferably at least 20, a further advantageous embodiment of the invention has been obtained.

20 According to the invention, experiments have shown that impressive rendering methods may relatively easy be applied to audio signals represented in the format according to the invention when increasing the number of signal components.

25 Accordingly, experiments have shown that a ten-directional format ( $N = 10$ ) may be rendered into an impressing stereo image, e.g. by means of a simple gain matrix mapping the direction components into the channels of a stereo rendering system. Moreover, an increase of the number of directional components have proven to be advantageous when dealing with multi-channel rendering, such as five channel rendering.

30 When, as stated in claim 4, the said directions are three-dimensional directions, a further advantageous embodiment of the invention has been obtained.

When establishing a three dimensional format, the possibility of establishing a three dimensional audio image has been facilitated.

5 Evidently, the three dimensional signal format may include both true signal components or even “trick”-components.

When, as stated in claim 5, some or all of said directions are angled in relation to a common reference plane and where preferably all of said directions to one and the same side of the plane have been placed with approximately the same angle in relation to the common reference plane, a further advantageous embodiment of the invention has been obtained.

When, as stated in claim 6, the directions are placed on both sides of the common reference plane, where some or all of said directions are angled in relation to the common reference plane and where preferably all of said directions to one and the same side of the plane have been placed with approximately the same angle in relation to the common reference plane, a further advantageous embodiment of the invention has been obtained.

20 When, as stated in claim 7, the angle of the directions on one side of the common reference plane and the angle of the directions on the other side of said plane are substantially equal, a further advantageous embodiment of the invention has been obtained.

25 When, as stated in claim 8, the said directions are distributed among all possible directions, a further advantageous embodiment of the invention has been obtained.

According to the invention, an experience-based allocation of directions of components may be applied.

30

When, as stated in claim 9, the said directions are distributed with a larger proportion of directions in areas with a relatively high density of sound signals than in areas

with a relatively low proportion of sound signals, a further advantageous embodiment of the invention has been obtained.

When, as stated in claim 10, the said directions are distributed with a larger proportion of directions in areas in which the human perception of sound signals is relatively sharp, e.g. in front of the head, a further advantageous embodiment of the invention has been obtained..

When, as stated in claim 11, said signal is decomposed to a signal comprising N directional components and according to an audio signal format as characterized in one or more of claims 1 – 10, a further advantageous embodiment of the invention has been obtained.

Moreover, the invention relates to a method of processing audio signals according to claim 12,

said signals comprising

M sub-signals ( $s_1, s_2, s_3, \dots s_M$ ),

each of the said sub-signals ( $s_1, s_2, s_3, \dots s_M$ ) comprising

N components ( $d_1, d_2, d_3, \dots d_N$ ),

each of the said components ( $d_1, d_2, d_3, \dots d_N$ ) representing a direction;

where the said sub-signals ( $s_1, s_2, s_3, \dots s_M$ ) are added to form a sum-signal ( $\Sigma s$ ) comprising

N components ( $\Sigma d_1, \Sigma d_2, \Sigma d_3, \dots \Sigma d_N$ ),

each of the said components ( $\Sigma d_1, \Sigma d_2, \Sigma d_3, \dots \Sigma d_N$ ) representing a direction,

each of the said components ( $\Sigma d_1, \Sigma d_2, \Sigma d_3, \dots \Sigma d_N$ ) being the sum of the said M sub-signals ( $s_1, s_2, s_3, \dots s_M$ ) corresponding components ( $d_1, d_2, d_3, \dots d_N$ ).

5

According to the invention, the format may advantageously be applied as an intermediate signal processing format and e.g. different sound sources represented according to the same format may be superpositioned by a simple adding of the signal components.

10

Moreover, the invention relates to a method of processing audio signals according to claim 13,

said signals comprising

15

M sub-signals ( $s_1, s_2, s_3, \dots s_M$ ),

each of the said sub-signals ( $s_1, s_2, s_3, \dots s_M$ ) comprising

20 

N components ( $d_1, d_2, d_3, \dots d_N$ ),

each of the said components ( $d_1, d_2, d_3, \dots d_N$ ) representing a direction;

25 

where said sub-signals ( $s_1, s_2, s_3, \dots s_M$ ) are results of a room-simulation using room-simulators, preferably multi-directional room-simulators,

where the said sub-signals ( $s_1, s_2, s_3, \dots s_M$ ) are added to form a sum-signal ( $\Sigma s$ ) comprising

30 

N components ( $\Sigma d_1, \Sigma d_2, \Sigma d_3, \dots \Sigma d_N$ ),

each of the said components ( $\Sigma d_1, \Sigma d_2, \Sigma d_3, \dots \Sigma d_N$ ) representing a direction,

each of the said components ( $\Sigma d_1, \Sigma d_2, \Sigma d_3, \dots \Sigma d_N$ ) being the sum of the said M sub-signals ( $s_1, s_2, s_3, \dots s_M$ ) corresponding components ( $d_1, d_2, d_3, \dots d_N$ ).

- 5 Moreover, the invention relates to a method of representing an audio signal (AS), said method according to claim 14, comprising the step of

establishing at least two directional signal components (M), said directional signal components (M) preferably being uncorrelated.

10

The audio signal may subsequently be decoded into a desired rendering format, such as five channel.

- 15 Basically, the audio format represents a flexible audio coding in the sense, that the audio-signals may be encoded without any knowledge about the rendering system.

It should be noted that the method according to the invention implies a very advantageous representation of a signal due to the fact that the final rendering of the signal  
20 may be performed strictly according to a simple mapping if so desired, e.g. a gain matrix, still maintaining the intended total signal image. The final rendering may thus, if desired, be performed solely with focus on the rendering of a signal component having a certain direction parameter and without differentiating between the different signals and the type of the signals contained in the directional signal com-  
25 ponent. In other words, direct sound and subsequent room simulated signal may be rendered in the same way.

- Accordingly, a music mix may be established once and for all in the format accord-  
30 ing to the invention, and subsequently, when new formats appear on the commercial market, a rendering may be established on the basis of the said format.

According to the invention, the term uncorrelated signal components implies that different directional signal components are independent in a degree that a subsequent rendering in a rendering system is possible without compensating for mutual dependencies between different directional signal components.

5

According to the invention, the signal components may be subsequently be rendered in almost every possible audio rendering system, e.g. stereo, five channel surround sound, without considering the originally intended rendering method.

10 A component includes an accumulation of zero or more signals in a direction defined by said component.

Typically, the method according to the invention may be performed without any (or very little) knowledge of the downstream rendering system.

15

When, as stated in claim 15, the said audio signal is a room processed signal, a further advantageous embodiment of the invention has been obtained.

Again, the term uncorrelated signal components implies that the signal components  
20 are independent. An independency between the signals of different directional signal components of a room simulated signal may specifically imply that signals representing a direct sound signal of a room signal should be independent with other direct sound representing signals of other directional signal components. Evidently, when dealing with a room simulated signal, a correlation between signals of differ-  
25 ent signal components will occur within the scope of the invention, due to the fact that a room simulation of a direct sound signal will likely produce a subsequent reverberation sound signal bundle distributed in different signal directional components (generally).

30 It should be noted that the method according to the invention implies a very advantageous representation of a signal when dealing with room processed signals due to the fact that the final rendering of the room simulated signal may be performed strictly

according to a simple mapping if so desired, e.g. a gain matrix, still maintaining the intended total signal image. The final rendering may thus, if desired, be performed solely with focus on the rendering of a signal component having a certain direction parameter and without differentiating between the different signals and the type of the signals contained in the directional signal component. In other words, direct  
5 sound and subsequent room simulated signal may be rendered in the same way.

This unique feature implies e.g. that a music recording may be mixed, room processed, etc. by a sound engineer and encoded/stored according to the invention.  
10

The recording may then be transformed into a signal standard fitting to a certain desired rendering method, e.g. stereo.

Subsequently, the stored music recording may be redistributed in another format without re-mixing the music recording.  
15

When, as stated in claim 16, at least two audio signal (AS) the signals are combined into one signal by means of an adding, a further advantageous embodiment of the invention has been obtained.  
20

According to the invention, an adding of signal components would preferably involve the signals (in time) of the same signal directional component of two different audio signals are summed.

Clearly, according to the invention, the format and the method facilitate a modular approach according to which e.g. the room processing may be processed independently.  
25

Moreover, the invention relates to a method of decoding a number (M) of directional components into a, preferably lower, number (N) of directional components according to claim 17, said method comprising the step a transforming of the input directional components to a number N of output directional components,  
30



said input directional components representing a room simulated audio signal, said directional components being preferably uncorrelated.

The method of decoding the above signal, which may also be regarded as a rendering, has proved to be very efficient and effective, due to the fact that the rendering itself may be performed by means of simple signal processing if desired. Hence, a rendering of the directional components into an X-channel signal (X: more or less arbitrary) may be performed by means of a simple gain matrix mapping the M directional components into the X number of channels.

Moreover, the invention relates to a rendering system comprising at least one input for receiving a number (M) of directional components (DC) according to claim 18, said rendering system comprising means for transforming the input directional components into a number (N) of output channels according to at least one rendering method stored in associated storing means (MSM).

According to a preferred embodiment of the invention, the rendering system should be able to be re-configured, e.g. by means of software.

According to a preferred embodiment of the invention M should be significantly higher than N.

The rendering system according to the invention represents a very flexible downstream rendering in the sense that the signal may be completely pre-established previous to the rendering, thereby reducing the task of the rendering system to be a mapping.

Another advantageous feature is that the rendering system may be specifically designed to fit to the room (and e.g. amplifier and loudspeaker) in which the sound has to be reproduced.

According to a preferred embodiment of the invention, a unique spatial relation exists between each input signal component and the intended location of an output channel of a rendering system.

- 5 According to the invention, the means for transforming the input directional components into a number (N) of output channels may perform a signal processing based on a simple gain matrix mapping of the signal components into the said output signals. Evidently, the means for transforming the input directional components into a number (N) of output channels may also additionally apply other signal processing  
10 methods, such as delay compensation for compensating the positioning of the loudspeakers of the rendering system, a compression applied for adapting the rendered output signal to noisy environments, e.g. a cabin of a car.

- When, as stated in claim 19, at least one of the said methods implies a transforming  
15 according to a gain matrix, a further advantageous embodiment of the invention has been obtained.

- According to the present embodiment of the invention, the required signal processing to be performed in the rendering system may be minimized.

- 20 When, as stated in claim 20, the said method stored in the said storing means may be exchanged by means of a suitable software transmitting and/or receiving interface, a further advantageous embodiment of the invention has been obtained.

- 25 According to the present embodiment, the rendering methods may be downloaded into the rendering system, e.g. by means of an IRDA port, a traditional RJ 45 signal interface, etc. Another way may also be that of incorporating the method defining software on a DVD or a CD.

- 30 It should be noted that the bandwidth required for the transmittal of method defining software into the rendering may typically be relatively small.

When, as stated in claim 21, the rendering system comprises a user interface adapted for selecting at least two different predefined rendering methods stored in said storing means, a further advantageous embodiment of the invention has been obtained.

5 According to the invention, a user may adapt his rendering system, to the room in which music (or speech) is to be reproduced by simply switching between different predefined methods. Evidently, the rendering system should comprise the amplifier and associated loudspeakers of the rendering system.

10 The rendering system may e.g. comprise a set of predefined rendering methods associated with stereo reproduction, a set of predefined rendering methods associated with five channel rendering, etc.

When, as stated in claim 22, said system comprising a set of output channel (OC) connectors of which the rendering method may define a subset of output channel connectors to be activated when applying the transforming defined by the said, a further advantageous embodiment of the invention has been obtained.

20 According to the invention, a rendering system may feed a traditional amplifier/loud speaker setup (e.g. a stereo setup) by means of two rendering method defined output channel connectors if so desired. Moreover, the same system may moreover feed another type of rendering system via other method defined output channel connectors.

25 Accordingly, the rendering system according to the invention may comprises e.g. a DVD reading unit and a unit adapted for allocating the directional signal read by the DVD into a kind of crossfield output connectors.

Moreover, the invention relates to a multi-channel data carrier according to claim 23, 30 said data carrier comprising a number (M) of audio channels (M), at least two of said channels representing a directional signal with respect to a virtual listener/reference position (VLP).

According to the above stated embodiment of the invention, an advantageous rendering of a multi-channel directional audio signal stored at the carrier may be obtained. Experiments have shown that subsequent rendering of the signal into e.g. a multi-channel rendering system, not necessarily predefined at the time of storing the audio-signal at the data carrier, may facilitate a relatively simple, and even more important high quality rendering. Experiments have shown, that the signal format e.g. facilitate a convincing rendering of the preprocessed room simulation.

10 A further advantageous feature of the invention is that the bandwidth may be kept constant irrespective of the character of the stored audio signal, the number of sources, etc.

According to a preferred embodiment of the invention the audio-signal stored at the data carrier should be almost completely preprocessed with respect to the mixing and sound-engineering.

It should be noted that it is known to present music in different formats at the same data carrier, e.g. stereo, five channel audio, Dolby Surround ,etc.. Each format is typically mixed separately, and anyway, each format may hardly be transformed into another rendering system.

But, according to the invention, a music mix may be released once and for all e.g. on a DVD, and if another rendering format is desired later, the rendering system may be re-configured without any need for manipulating the origin data source.

When, as stated in claim 24, the directional channels are established independently of a subsequent rendering, a further advantageous embodiment of the invention has been obtained

30

The directional components are established independently of the subsequent rendering of the audio channels.

When, as stated in claim 25, the number of directional channels are at least eight, preferably at least twenty, a further advantageous embodiment of the invention has been obtained.

5

When, as stated in claim 26, at least two of the directional channels are uncorrelated, a further advantageous embodiment of the invention has been obtained.

When, as stated in claim 27, at least two of the directional channel are stored at the data carrier in a compressed state, a further advantageous embodiment of the invention has been obtained.

If desired, the effective necessary bandwidth of the complete signal represented in the format according to the invention may be reduced taken into consideration that many audio direction components are typically un-occupied in periods. Such un-occupied channel components will typically increase when increasing the number of channels.

A possible compression may e.g. be applied according to PCT applicaiton WO 97/38493 by Philips.

20

**The figures**

The invention will be described below with reference to the drawings of which

- 5     fig.1            shows the basic understanding of a reverberated sound
- fig.2            shows the basic principles of a sound processing device according to  
                         the invention
- fig.3a-3c       shows different sub-portions of the system according to the invention,
- fig. 4a-4b       illustrates early pattern generators according to the invention
- 10    fig. 5            shows a two-dimensional system of co-ordinates for illustrative pur-  
                         poses,
- fig. 6a-6b       shows two embodiments of an audio signal format according to the  
                         invention,
- fig. 7            shows a three dimensional system of co-ordinates with two additional  
15                       planes,
- fig. 8            shows the angle of the elevation of the additional planes shown in fig.  
                         7,
- fig. 9            shows another embodiment of an audio signal format according to the  
                         invention,
- 20    fig. 10a-10c       shows fig. 9 divided into sub-planes for the readers convenience,
- fig. 11           shows an audio signal processing method according to the invention,
- fig. 12           shows the same as fig 11, but illustrated in an alternative manner,
- fig. 13           shows a processing system according to a further embodiment of the  
                         invention,
- 25    fig. 14            shows a recording, distribution and/or reproducing system according to  
                         a still further aspect of the invention,
- fig. 15           shows a recording, distribution and/or reproducing system as illustrated  
                         in fig. 14, but showing a storing medium for the pre-processed signal  
                         and the generation of the input signals,
- 30    fig. 16            shows a additional embodiment of the system illustrated in fig. 14, and
- fig. 17           shows different modules of a rendering system according to the inven-  
                         tion.

### Detailed description

According to most embodiments of the invention, it is the general approach that artificial generation of room simulated sound should comprise an early reflection pattern and a late sound sequence, i.e. a tail sound signal.

It should be noted that the invention is basically directed at the early reflection patterns, and consequently sound processing based on early reflections patterns within the scope of the invention.

Fig. 1 illustrates the basic principles of a conventional signal processing unit.

The circuit comprises an input 1 communicating with an initial pattern generator 2 and a subsequent reverberation generator 3. In addition, the initial pattern generator 2 and the subsequent reverberation generator 3 are connected to two mixers 4, 5 having output channels 6 and 7, respectively.

The initial pattern generator 2 generates an initial sound sequence with relatively few signal reflections characterising the first part of the desired emulated sound. It is a basic assumption that the initial pattern is very important as a listener establishes a subjective understanding of the simulated room on the basis of even a short initial pattern.

An explanation of this performance is that this signal reception corresponds to the actual sound propagation and reflection in a real life room.

Hence, reflections in a certain room will initially comprise relatively few reflections, as the first sound reflection, also called first order reflections, have to propagate from a sound source at a given position in the room to the listener's position via the nearest reflecting walls or surfaces. Compared with the overall heavy complexity of the

technique, this sound field will be relatively simple and may therefore be emulated in dependency of the room and the position of the source and the listener.

Subsequently, and of course with some degree of overlapping, the next reflections  
5 will appear at the listeners position. These reflections, also called second order reflections, will be the sound waves transmitted to the position of the receiver via two reflecting surfaces.

Gradually, this sound propagation will increase in dependency of the room type, and  
10 finally the last reflected sound will be of a more diffuse nature as it comprises several reflections of several different orders at different times.

Apparently, the sound propagation will gradually result in a diffuse sound field and the sound field will more or less become a "sound soup". This diffuse sound field  
15 will be referred to as the tail sound.

If the walls have high absorption coefficients, the propagation will decrease quite fast after a short time period of time while the sound propagation will continue over a relatively long period of time if the absorption coefficients are low.

20

Fig. 2 illustrates the basic principles of a preferred embodiment of the invention.

For reasons of explaining, the shown embodiment of the invention has been divided into three modules 20A, 20B and 20C.

25

The first module 20A of the room simulator, according the embodiment shown, comprises M source inputs 21, 22, 23.

The source inputs 21, 22 and 23 are each connected to an early pattern generator 26,  
30 27 and 28.



Each early pattern generator 26, 27 and 28 outputs M directional signals to a summing unit 29. The summing unit adds the signal components of each of the N predetermined directions from each of the early pattern generators 26, 27 and 27.

- 5 The summing unit output N directional signals to the module 20B comprising direction rendering unit 201.

The basic establishing of the N directional signals has been illustrated in fig. 3a.

- 10 Now returning to fig. 2, the direction rendering unit converts the N directional signal to a P channel signal representation.

The basic establishing of the P channels of module 20B has been illustrated in fig. 3b.

15

Moreover, the system comprises a third module 20C. The module 20C comprises a reverb feed matrix 202 fed by the M source inputs 21, 22, 23. The reverb feed matrix 202 outputs P channel signals to a reverberator 203 which, in turn, outputs a P channel signal to a summing unit 204.

20

Thus, the summing unit 204 adds the P channel output of the reverberator 203 to the output of the direction rendering unit 201 and feeds the P channel signal to an output.

The basic establishing of the P channels of module 20C has been illustrated in fig.

25 3c.

Before explaining the overall functioning of the algorithm, the basic functioning of the early pattern generators 26, 27, 28 and the summing unit 29 will be explained with reference to fig. 3a

30

According to fig. 3a, the module 20A comprises a number of inputs S1, S2, S3 and S4.

It should be noted that a number of four inputs have been chosen for the purpose of obtaining a relatively simple explanation of the basic principles of the invention. Many other input numbers may be applicable.

5

Each of the inputs are directed to an early pattern generator 26, 27 and 28. Each early pattern generator generates a processed signal specifically established and chosen for the source input S1, S2, S3 and S4. The processed signals, according to the shown embodiment, are established as a signal composed of seven signal components d1,  
10 d2, d3, d4, d5, d6 and d7. The seven signal components represent a directional signal representation of the established sound and the established signal contains both the direct sound and the initial reverberation sound.

A possible embodiment of the invention implies a five channel rendering of 10-  
15 directional signal where the directions of the input signal format are 0, +/-15, +/-30, +/-70, +/-110 and 180 degrees, and the intended location of the five corresponding loudspeakers are 0, +/-30 and +/-110 degrees according to ITU 775.

Obviously, several other directions and locations may be applicable. A preferred embodiment comprises more than 20 directions.  
20

Accordingly, each of the inputs S1, S2, S3 and S4 may refer to mutually different locations of the input source to which the early pattern is generated.

25 Successively, the signals from each source are summed in summing unit 29. The summing is carried out as a simple adding of each signal component, i.e.

$d1:=d1(S1)+d1(S2)+d1(S3)+d1(S4)$ ,  $d2:=d2(S1)+d2(S2)+d2(S3)+d2(S4)$ ,  
 $d3:=d3(S1)+d3(S2)+d3(S3)+d3(S4)$ ,  $d4:=d4(S1)+d4(S2)+d4(S3)+d4(S4)$ ,  
 $d5:=d5(S1)+d5(S2)+d5(S3)+d5(S4)$ ,  $d6:=d6(S1)+d6(S2)+d6(S3)+d6(S4)$  and  
30  $d7:=d7(S1)+d7(S2)+d7(S3)+d7(S4)$ .

It should be noted that, even though undesired, according to the preferred embodiment of the invention, the signals  $d_1, \dots, d_7$  may comprise tail sound components or even whole tail-sound. It should nevertheless be emphasised that according to the preferred embodiment of the invention such tail sound may advantageously be generated according to a relatively simple panning algorithm and subsequently added to the established summed initial sound signal as the established summed initial sound comprises the dominating room determining effects.

Moreover, it should be emphasised that a separate tuning of the resulting tail-sound signal is much easier when made separately from the individual tuning of the different source generators.

Turning now to module 20B, fig. 3b illustrates the basic functioning of the direction rendering unit 201.

According to the shown embodiment of the invention, the seven directional signal outputs from module 20A are mapped into a chosen multi-channel representation. According to the illustrated embodiment, the seven directional signals are mapped to a  $P=5$  channel output.

According to a preferred embodiment of the invention, the type of multi-channel representation is a selectable parameter, both with respect to number of applied channels and to the type of speaker setup and the individual speaker characteristics.

The conversion into a given desired  $P$  channel representation may be effected in several different ways such as implying HRTF based (head related transfer function), a technique mentioned as Ambisonics, VBAP (vector based amplitude panning) or a pure experience based subjective mapping.

Turning now to fig. 3c module 20C is illustrated as having an input from each of the source inputs  $S_1, S_2, S_3$  and  $S_4$ . The signals are fed to a reverb feed matrix 202 having five outputs, corresponding to the chosen channel number of the direction

rendering unit 201. The five channel outputs are fed to a reverberation unit 203 providing a five channel output of subsequent reverberation signals.

5 The reverb feed matrix 202 comprises relatively simple signal pre-processing means (not shown) setting the gain, delay and phase of each input's contribution to each reverb signal and may also comprise filtering pre-processing means.

10 Subsequently, the reverberation unit 203 establishes the desired diffuse tail sound signal by means of five tank circuits (not shown) and outputs the resulting sound signal to be added to the already established space processed initial sound signal. According to the illustrated preferred embodiment of the invention, the tail sound generating means are added using almost no space processing due to the fact that a space processing of the tail sound signal according to the diffuse nature of the signal has little or no effect at all. Consequently, the complexity of the overall algorithm  
15 may be reduced when adding the tail sound separately and making the tuning much easier.

Moreover, it should be noted that the above mentioned separate generation of the tail-sound provides a more natural diffuse tail-sound due to the fact that the distinct  
20 comb-filter effect of the early pattern generator should preferably only be applied to the initial pattern in order to provide naturalness.

It should be noted that the above generation of subsequent reverberation signals, according to the present preferred embodiment, is generated independently of the initial  
25 sound generation. Nevertheless, it should be emphasised that the invention is in no way restricted to a narrow interpretation of the basic generation of a reverberation sound. Thus, within the scope of the invention, both the initial sound and the sound tail of each sound may of course be located within an artificial room and subsequently summed in a summing unit.

30

Turning now to fig. 4a, an early pattern generator, such as 26 of fig. 2, is illustrated in detail. The early pattern generator is one of four according to the above described

illustrative embodiment of fig. 2, and each generator comprises a dedicated source input S1, S2, S3 and S4.

The shown early pattern generator 26 comprises a source input S1.

5

According to the shown embodiment, the source input is connected to a matrix of signal processing means. The shown matrix basically comprises three rows of signal processing lines, which are processed by shared diffusers 41, 42.

10 Accordingly, the upper row is fed directly from the input S1, the second row is fed through the diffuser 41, and the third row is fed through both diffusers 41 and 42.

Each row of the signal processing circuit comprises colour filters 411, 412, 413; 421, 422, 423; 431, 432, 433. According to the shown embodiment, colour filters of the  
15 same columns are identical, i.e. colour filter 411=421=431.

It should nevertheless be emphasised that the colour filters may of course differ within the scope of the invention.

20 Moreover each row comprises delay lines 4111, 4121 and 4131 which are serially connected to the colour filters 411, 412, 413. Finally, each column may be tapped via level and phase controllers such as 4000, 4001 and 4002. It should be noted that each level-phase controller 4000, 4001 and 4002 are tap specific.

25 Hence, the initial pattern generator 26 comprises a matrix which may comprise several sets of predefined presets by which a certain desired room may be emulated.

As already mentioned and according to the simplified embodiment of the invention, signals of the current predefined room emulation are tapped to the directional signal  
30 representation of the present sound source S1. According to the illustrated programming, four signal lines are tapped to seven directional signal components. One signal,

N13 of row 1, column 3, is fed to sound component 1, one signal, N21, is fed to signal component 3, and two signals, N11 and N22 are added to the sound component 4.

5 It should be noted that each tapped signal has consequently been processed through one of three combinations of diffusers, one of three types of predefined colour filters EQ, a freely chosen length of delay line and a freely chosen level and phase output.

Obviously, several other combinations and number processing elements are applicable within the scope of the invention.

10

According to one of the preferred embodiment of the invention, a separate row with a level-phase controller 4002 should be tapped and determine the direct sound. When integrating the direct sound into the early pattern generation, the location of both the direct sound as well as the corresponding EPG and reverberation sound signals may  
15 be mapped into the sound signal representation completely similar to the desired directionality irrespective of directional resolution and complexity.

Evidently, the directional signal representation components usually comprise signals fed to each component 1-7 and not only the illustrated three.

20

It should be noted, that the chosen topology of the early pattern generator within the scope of the invention may be chosen from a set of more or less equivalent topologies. Moreover, the signal modifying components may be varied, if e.g. a certain degree of tail-sound is added before or after tapping.

25

As the illustrated early pattern generator comprises linear systems, it will be possible to interchange the components, e.g. the colour filters EQ may be interchanged with the diffusers DIF.

30 Fig. 4b illustrates a further possible embodiment of the early pattern generator, comprising colour filters EQ placed in the feed line to each row and diffusers DIF placed in each column in each row.

Likewise, the number of columns and rows may vary depending of the system requirements. In a possible embodiment only one column of delay lines with corresponding colour filters or diffusers is utilised. Moreover, additional components,  
5 additional diffusers, additional different types of colour filters, etc. may be chosen.

Finally it should be mentioned that, according to a preferred embodiment of the invention, the number of directions, i.e. signal components, should be not less than twelve, and the established reflections of each early pattern generator should not be  
10 less than 25.

The basic presetting of each early pattern generator may initially be determined by known commercially available ray tracing or room mirroring tool, such as ODEON.

15 To describe the invention, it will be necessary for illustrative and explanatory purposes first to define a plane (and later a room) wherein the elements of the audio format according to the invention may be placed.

Fig. 5 illustrates a two-dimensional system of co-ordinates, with the axes labeled 'x' 910 and 'y' 911. The axes 910, 911 are perpendicular to each other, and both are  
20 parallel to the ground, i.e. they are arranged in a horizontal plane. At the systems origin a head of a person 912 is placed, with the nose pointing in the same direction as the x-axis 910. A circle 913 with radius of a unit and center at the systems origin is drawn in the plane of the x- and the y-axes.

25

Fig. 6a illustrates an audio signal format. It shows again the two dimensional system of co-ordinates of figure 5. It comprises the axes x 910 and y 911, and the unit circle 913. The intersection 912 of the two axes 910, 911 represents the position of the head 912 of figure 5.

30

Further figure 6 comprises twelve vectors 920, all beginning at the systems origin and pointing towards the unit circle 913, all having the length of one unit. The twelve

vectors 920 (d1 ... d12) are evenly distributed around the circle 913, causing the angle 921 between two neighbor vectors to be the same, indifferently to which vector is chosen.

5 Incoming sounds may be defined by these vectors, the direction of the vectors representing the direction of the incoming sounds (or rather the direction, from which the incoming sound signals are coming), and a number representing the magnitude or amplitude of the incoming sounds signals.

10 The number of vectors 920 (twelve) is only an example. It is possible to comprise any number of vectors, as long as the number of vectors is sufficient to define the incoming sounds satisfactorily. A preferred embodiment would comprise more than 25 vectors or directions, for example 30, 40, 50 or even more. The higher the number of vectors, the higher resolution of direction is achieved. And the higher resolution of  
15 direction, the more accurate source localization is achieved. For sounds coming from sources placed in front of the head, the human beings are capable of distinguishing directional differences, as small as 3 degrees. This is the so-called localization blur.

The illustrated angle 921 between two neighbor vectors is only an example. It is possible to comprise any principle of vector distribution around the circle, including  
20 uniform distribution and experience based, e.g. psycho-acoustic based distribution.

Having in mind that the ears are not quite as good of directional distinguishing of sounds coming from behind the head or from the sides of the head, as they are of  
25 sounds coming from in front of the head, it is advantageous to comprise a distribution with fewer vectors behind the head than in front of the head. This gives a less accurate localization behind the head, but the human being will normally not be able to tell the difference anyway. A preferred embodiment using this distribution principle is shown in fig. 6b.

30

Another distribution of the vectors 920 could be based on measures of the density of different sounds in all possible directions. The vectors could then be distributed with



small angles between them in direction sectors with high density of directional sound signals and with larger angles between them in direction sectors with low density of directional sound signals.

- 5 A further way of distributing the vectors around the circle could be based on human impressions.

Another perspective of the invention is added when the above format is defined according to a room instead of just a plane. Fig. 7 defines such a room. It is a three-  
10 dimensional system of co-ordinates, with the axes labeled 'x' 930, 'y' 931 and 'z' 932. The axes 930-932 are perpendicular to each other, that is: each axis is perpendicular to the other two. At the systems origin a head of a person 912 is placed, with the nose pointing in the same direction as the x-axis 930. Further two circles 946a and 946b have been added. These circles 946a and 946b are placed in parallel with  
15 the unit circle 933, but are displaced along the z-axis in such a way that they still have their centers at the z-axis. Furthermore the distance from the systems origin 912 to any point at the two circles 946a and 946b are exactly one unit, as the circles are placed on a sphere with its center in the systems origin. The circle 933 lying in the x-y-plane is called the middle plane circle. The circle 946a displaced along the z-axis  
20 in the positive direction is called the upper circle. The circle 946b displaced along the z-axis in the negative direction is called the lower circle.

Fig. 8 corresponds to fig. 7. It comprises the three axes 930-932 of the three-dimensional co-ordinate system. Further it comprises the unit circle 933 of the x-y-  
25 plane and the two additional circles 946a, the upper circle, and 946b, the lower circle, placed in parallel with the x-y-plane. The circles 946a and 946b are centered at the z-axis, and the distance from any point on these circles to the systems origin 912 is exactly one unit as described above. Further fig. 8 comprises two angles 951a and 951b. The angle 951a is the angle between the x-axis and the direction from the systems origin 912 to a point at the circle 946a lying exactly above the x-axis. The angle  
30 951b is defined the same way, yet according to the circle 946b. This way the angles 951a, 951b indicates the displacement of the circles 946a, 946b.

Fig. 9 shows an embodiment of an audio format comprising three dimensions. It comprises the elements of figure 8. Further it comprises a number of vectors 920, pointing from the systems origin 912 to the middle circle 933. These vectors 920 are comparative to the vectors of the two dimensional audio format of figure 8a.

Further fig. 9 comprises a number of vectors 960a pointing from the systems origin 912 to the upper circle 946a and a number of vectors 960b pointing from the systems origin 912 to the lower circle 946b.

10

To be able to distinguish the vectors of these three vector systems, the three circles are drawn separately in fig. 10a-10c.

Fig. 10a shows the upper circle 946a and its corresponding vectors 960a of the three dimensional directional audio format. The angle 951a indicates the displacement of the upper circle from the x-y-plane. In addition to these already mentioned elements, fig. 10a comprises an angle 971a. It indicates the rotation of a vector 960a from the direction of the x-axis 930, with axis of rotation at the z-axis 932.

Fig. 10b shows the middle circle 933 and its corresponding vectors 920 of the three dimensional directional audio format. The angle 921 indicates the angular distance between two vectors 920.

Fig. 10c shows the lower circle 946b and its corresponding vectors 960b of the three dimensional directional audio format. The angle 951b indicates the displacement of the lower circle from the x-y-plane. The angle 971b indicates the rotation of a vector 960b from the direction of the x-axis 930.

Drawn in the same co-ordinate system, fig. 10a-10c will end up as fig. 9.

30

With reasons as those for the two dimensional audio format, the number of vectors corresponding to each circle and the number of circles are only examples, and any number of vectors and circles are within the scope of the invention.

5 As a human being are more capable of directional distinguishing of sources in a horizontal plane than in a vertical plane, it is not necessary to have the same resolution of vectors up- and downwards as it is sideways. This makes a preferred number of horizontal circles 5. These comprise from the top a second upper circle, a first upper circle, a middle circle, a first lower circle and a second lower circle. Common to all  
10 circles imaginable is that the distance from any point at a circle to the system origin, i.e. the head of a human being, is one unit, or in other words, the circles are all placed with their perimeters on the surface of a sphere with a radius of one unit.

A preferred embodiment would also comprise fewer vectors pointing towards each of  
15 the upper or lower planes than to the middle plane. This is because the highest resolution of vectors wanted and also usable to the human being is in the middle plane.

In a further preferred embodiment an upper circle and/or a lower circle is situated near respectively the positive part (i.e. the angle 951a being close to 90°) and the  
20 negative part (i.e. the angle 951b being close to 90°) of the z-axis and contains only few vectors. Such upper and/or lower circles could even be defined as points on the z-axis, whereby only one vector would correspond to these upper and/or lower "circles", that is a vector located along the positive direction of the z-axis and/or a vector located along the negative direction of the z-axis.

25

In an advantageous simple embodiment of the invention the audio format could be defined by a middle circle as described above in combination with a vector along the positive part of the z-axis and optionally a vector along the negative part of the z-axis.

30

The distribution of vectors around a circle is also just an example. As explained for the two-dimensional audio format many distribution principles are imaginable and

applicable and hence within the scope of this invention. This could be a uniform distribution principle as shown in the drawings 9, 10a-10c, an experience based distribution principle, a distribution principle based on measures of the localization blur in different directions as shown for the two-dimensional system in fig. 6b or a distribution principle based on measures of the portion of sound gradients in different areas for a specific room and situation.

Further it shall be pointed out that the vectors could be placed in other manners than with their ends placed at a circle, especially the vectors, which are placed with an angle in relation to the x-y-plane. The angle 951a or 951 (fig. 8) in relation to the x-y-plane could vary as a function of the angle 921 (fig. 6a) if found appropriate, whereby the ends of the vectors could be situated on for example non-circular curves on the surface of the unit sphere.

In fig. 11 and 12 is illustrated signal processing methods and systems, which utilize the above-described audio signal formatting system.

A number of signals, preferably audio signals,  $s_1 - s_M$  are provided in the signal format according to the invention, e.g. comprising  $N$  directional components according to the same directional format. It shall be noted that not all of these components actually need to contain any signal, as the signal format must be expected to comprise a relatively large number of directional components in order to be able to represent the involved signal sources satisfactorily. Thus some (or even a large number) of the components of the actual signal sources may be zero.

The audio signals  $s_1 - s_M$  may be recordings of or (microphone) signals stemming from single musical instruments, group of instruments, singers etc. or the signals  $s_1 - s_M$  may be other forms of signals, which will have to be combined to represent a resulting audio signal or other forms of signals.

The source signals  $s_1 - s_M$  are directed to a signal processing unit 972 or 982, said signal processing units serving to combine the source signals  $s_1 - s_M$  and to provide

an output signal 973 or 983, respectively, which also is represented in the audio signal format according to the invention, e.g. comprising N directional components, said components having the same directions as the components used for representing the source signals  $s_1 - s_M$ .

5

In a preferred embodiment the processing involved for combining the source signals is a summing of the corresponding components of each source signal  $s_1 - s_M$ . The summing is carried out as a simple adding of each signal component, i.e.

$$\begin{aligned} \Sigma d_1 &:= d_1(s_1) + d_1(s_2) + \dots + d_1(s_M), \\ \Sigma d_2 &:= d_2(s_1) + d_2(s_2) + \dots + d_2(s_M), \\ \Sigma d_3 &:= d_3(s_1) + d_3(s_2) + \dots + d_3(s_M), \\ \Sigma d_4 &:= d_4(s_1) + d_4(s_2) + \dots + d_4(s_M), \\ &\cdot \\ &\cdot \\ &\cdot \\ &\cdot \\ &\cdot \\ \Sigma d_N &:= d_N(s_1) + d_N(s_2) + \dots + d_N(s_M). \end{aligned}$$

Other forms of signal processing may be performed by the signal processing units 972 and 982, even additional processing not primarily serving to combine the components of the source signals, but serving to amend, equalize, add reverberation to, etc. the resulting signal. But preferably such additional processing will be performed in a later stage or stages of the signal processing chain but before the final direction rendering unit (DRU) will perform the mapping of the signals to the available sound reproducing system, e.g. the loudspeaker system.

The basic functioning of the direction rendering unit (DRU) will thus be to map the N directional signal outputs 973 and 983 from units 972 or 982 into a chosen multi-channel representation, according to the available speaker set-up.

The conversion into a given desired P channel representation may be effected in several different ways such as implying HRTF based (head related transfer function), a technique mentioned as Ambisonics, VBAP (vector based amplitude panning) or a pure experience based subjective mapping.

5

Fig. 13 shows a system according to a further aspect of the multi-channel audio format and processing method of this invention. It shows a model of a reverberation unit. Here a number of units 9101a-9101c, called room simulator units, calculate how the sound emitted from a source 9100a-9100c will be heard at the listener's position, including reflections from the room. These room simulator units may for example be  
10 early pattern generators, EPG's, which will be assumed to be the case in the following. As output each EPG 9101a-9101c has the same listener placed at the same location, but as input the EPG's could have different instruments 9100a-9100c placed at different locations. To make the best room-simulation, the EPGs should calculate the  
15 resulting sound from as many directions as possible, and this result 9102a-9102c could then be sent on in the audio format of this invention. The result of each EPG should in any suitable way be added to form the final sound heard at the listener's position, and this addition could be made according to the audio signal processing method 9103 of this invention. The result 9104 from passing the outputs from the  
20 EPGs through the processing method, would be in the multi-channel audio format of this invention. Finally the sound in each direction of this format would have to be mapped to the available loudspeakers 9106a-9106b or channels chosen by the user. This mapping is performed by a direction rendering unit (DRU) 9105.

25 Instead of just representing the sound coming from a particular direction, each vector could represent the method of calculating the sound coming from that particular direction. In this embodiment each vector would comprise a listing of partial sound signals as a function of time and as a function of the actual input signals. In each vector shall then the partial sound signals be summed.

30

According to a further embodiment of the invention the vectors may represent further sound describing information, for example source direction, coloring etc.

Fig. 14 illustrates a recording, distribution and/or reproducing system according to a further aspect of the invention, said system utilizing the signal format according to the first aspect of the invention.

5

A number of source signals  $s_1 - s_M$ , each comprising a number of directional components  $d_1 - d_N$ , are led to a pre-processing unit (PPM) 9111. The input source signals, which preferably may be audio signals, may each stem from a single musical instrument, a singer or another source of audio signals, or may stem from a group of  
 10 instruments, a group of singers, a group of other audio sources or combinations of these. These signals may have been generated using commonly available methods and equipment, e.g. microphones, while simultaneously producing the directional components of the signals. Further the input source signals  $s_1 - s_M$ , or some of these, may have been generated using audio generators and/or simulators, for example room simulators, early pattern generators (EPG's) etc. as indicated above.  
 15

In the pre-processing unit (PPM) 9111 a processing of the input source signals  $s_1 - s_M$  takes place. This pre-processing may in a simple form be a summing of the corresponding directional components of each input source signal, e.g.

$$\begin{aligned} 20 \quad d_1 &:= d_1(s_1) + d_1(s_2) + d_1(s_3) + \dots + d_1(s_M), \\ d_2 &:= d_2(s_1) + d_2(s_2) + d_2(s_3) + \dots + d_2(s_M), \dots \end{aligned}$$

.

.

.

$$25 \quad d_N := d_N(s_1) + d_N(s_2) + d_N(s_3) + \dots + d_N(s_M),$$

whereby the output signal IF from the pre-processing unit (PPM) 9111 will be generated.

Other forms of signal processing may be incorporated in the pre-processing unit  
 30 (PPM) 9111, such as equalizing, coloring, addition of tail sound signals, reverberation, delaying etc. Also amendments of the format of the signals may take place, e.g. reduction of the number of directional components, canceling of certain directional

components, addition of certain components, which may contain information relating to the recorded or processed signals.

5 The resulting output signal IF from the pre-processing unit (PPM) 9111 thus comprises a number T of signal components, of which most or all are directional components. The number T may equal the number N of directional components in each of the input source signals  $s_1 - s_M$ , or T may be larger than or less than N.

10 The output signal IF constitutes an intermediate signal format, which is suitable for storing, transmission or otherwise distribution of the recorded signals, preferably audio signals, while simultaneously retaining as much detailed information about the input signals as possible. The output signal IF may thus be stored on any suitable form of storing media such as CD's, DVD's or static storing media of the electronic, magnetic, optical etc. variety as illustrated in fig. 15 by the storing means 9115.

15 Further the output signal IF may be transmitted in any suitable manner, for example by distribution via the Internet or other suitable means.

20 The T-channel signal IF is received by user processing means (UPM) 9112, which may be incorporated in the reproducing system or apparatus at the end-user, for example when a storing medium such as a CD or a DVD is played on the apparatus of the end-user, or when a signal IF is received via electronic, electromagnetic or optical communication means, for example via the Internet, by the reproducing system at the end-user.

25 Ordinarily the reproducing equipment at the end-user will not be capable of reproducing the relatively large number of directional components, i.e. channels, comprised in the signal IF, but will be able to reproduce the signals, preferably the audio signals, in one or more of the commonly used bi- or multi-channel systems, e.g. stereo system, 3-, 4- or 5-channel systems, surround systems etc. or in a user-specified  
30 set-up. The user processing means (UPM) 9112 therefore comprises means, for example in the form of a decoder, for transforming the T-channel signal IF into a signal



containing a suitable number of channels, which may be reproduced by the end-user equipment.

The user processing means UPM may be predestined to transform the received signal from a certain number of channels T to a certain number of channels to be reproduced by the end-user equipment. Alternatively, the user processing means UPM may be configured to determine the number of channels T incorporated in the received signal IF and to perform a transformation from this number of channels to a certain number of channels to be reproduced by the end-user equipment. Further, the user processing means UPM may be able to perform a transformation to one of two or more different reproducing systems UF1 - UFk, containing a different number of channels, in dependence upon an active choice by the user or in dependence upon other factors, such as parameters of the received signal IF.

As illustrated, the user processing means UPM of fig. 14 is configured to reproduce the audio input signals in a specific reproducing system labeled UF3, which may be any type of the relatively large number of reproducing systems (labeled UF1 - UFk on fig 14), which are available at present, and which may reproduce the signals via loudspeaker systems using stereo systems, 3-, 4- or 5-channel systems and/or user-specified set-ups etc.

In addition to the means for transforming the number of channels, the user processing means UPM may further comprise other means for processing the received signal IF, for example means for equalizing, other means for coloring the signals, delaying means, adding reverberation, dynamic processing etc. These further processing steps may be designated in relation to the actual type and character of the user reproducing equipment, e.g. in order to achieve an optimal sound reproduction.

The transformation of the number of channels T contained in the received signal IF to the number of channels, which may be reproduced by the actual end-user equipment, may be performed using linear transformation of the received signal-

components, for example by matrix-operation. More complicated operation may be performed to achieve more sophisticated and detailed results of the transformation.

As indicated in fig. 15, the input signals  $s_1 - s_M$  may originate from microphones or similar transducers producing electric signals 9110a – 9110M. These signals are processed by signal processing means 9114a – 9114M, whereby the signals  $s_1 - s_M$  each having N signal components according to the signal format of the invention is produced. The signal processing means 9114a – 9114M may for example be room simulators, early pattern generators etc. Some or all of the input signals for these signal processing means or for the pre-processing means PPM 9111 may also have been produced artificially, for example by electronic music instruments or signal generators.

A further advantageous embodiment of the invention will be described with reference to fig. 16. This figure illustrates a system, which corresponds to the system described in connection with fig. 14, but where a further processing means, an intermediate processing means (IPM) 9113 has been added. This further processing means IPM provides an intermediate processing of the multi-channel signal IF having T channels (or directional components), whereby the number of channels may be reduced to a number V. The output signal IF' having V channels (or directional components) may be stored on any suitable storing media 9116 or may otherwise be transmitted or distributed to the end-users, represented by the end-user processing means UPM, where it may be reproduced as described in connection with fig. 14.

The output signal IF from the pre-processing unit (PPM) 9111 may also be stored on any suitable storing media (not shown in fig. 16) or may otherwise be transmitted or distributed.

It will be understood that the intermediate processing may be performed by or for a record company, a record distributor, a distribution network etc. which has a need to distribute or may achieve an advantage by distributing signals with a fewer or a specific number of channels. This may thus be performed by the IPM without influenc-

ing upon the other parts of the system, e.g. the system as shown in fig. 14 will function without regard to the system shown in fig. 15.

Fig. 17 shows different modules of a rendering system according to the invention.

5

The system comprises an independent pre-rendering stage PS.

The pre-rendering stage PS comprises a data carrier reading unit DCRU. The unit may e.g. be a DVD player adapted for reading data stored in a DVD or it may e.g. comprise a solid state memory interface.

10

The data carrier reading unit DCRU are connected to a direction rendering unit DRU by means of an M channel interface.

15 The direction rendering unit DRU comprises method storing means MSM and a signal processing unit SPU adapted for transforming the M-channel input into an N-channel output according to a rendering method stored in the said memory storing means MSM.

20 The direction rendering unit may e.g. perform a relatively simple mapping of the input channels into the output channels by means of a gain matrix. The rendering method may e.g. be established by means of vector based amplitude panning.

Moreover, the rendering unit may be adapted for exchanging the rendering method by means of software modifications.

25

The N-channel output of the pre-rendering unit DCRU are then fed to output channel connectors (OC).

30 The illustrated pre-rendering unit DCRU comprises only two signal output connectors. Hence, the pre-rendering unit DCRU is specifically adapted for transforming the input channels into a two-channel signal representation, such as stereo.

The outputs of the pre-rendering unit DCRU are then fed to a traditional stereo amplifier RA connected with two loudspeakers LS.

- 5 According to the invention, the pre-rendering stage may thus be adapted for fitting to an arbitrary combination of amplifiers and loudspeakers, e.g. a surround sound system.

Evidently, another possible implementation of the invention would be a pre-rendering unit DCRU comprising a number of output connectors, e.g. ten. The pre-rendering unit DCRU may then, under control of the stored rendering method apply 1 to 10 physical outputs.

Evidently, the amplifier means may be incorporated in the pre-rendering unit DCRU within the scope of the invention.

The direction rendering unit DRU may also comprise a set of rendering methods storing the methods storing means. The rendering methods may both be different with respect to basic properties determined by the number of output channels, but it may also be different with respect to the intended positioning of the loudspeaker of the rendering system. Accordingly, the illustrated stereo rendering system may comprise e.g. twenty different predefined "stereo" variants with respect to e.g. the positioning of the loudspeakers in the room or e.g. room characteristics.

25 Such rendering may e.g. imply phase modification, equalizing, sound coloring, sound compression or the like.

**CLAIMS**

1. Audio signal format comprising

5

N components (d1, d2, d3, ... dN),

each of the said components (d1, d2, d3, ... dN) representing a direction, said components preferably being uncorrelated.

10

2. Audio signal format according to claim 1 comprising

N components (d1, d2, d3, ... dN), where N is at least 3.

15

3. Audio signal format according to claim 1 or 2 comprising

N components (d1, d2, d3, ... dN), where N is at least 10, preferably at least 20.

20

4. Audio signal format according to claim 1-3, wherein the said directions are three-dimensional directions.

25

5. Audio signal format according to claim 1-4, wherein some or all of said directions are angled in relation to a common reference plane and where preferably all of said directions to one and the same side of the plane have been placed with approximately the same angle in relation to the common reference plane.

30

6. Audio signal format according to claim 1-5, wherein directions are placed on both sides of the common reference plane, where some or all of said directions are angled in relation to the common reference plane and where preferably all of said directions to one and the same side of the plane have been placed with approximately the same angle in relation to the common reference plane.

7. Audio signal format according to claim 1-6, wherein the angle of the directions on one side of the common reference plane and the angle of the directions on the other side of said plane are substantially equal.

5 8. Audio signal format according to one or more of claims 1 - 7, wherein the said directions are distributed among all possible directions.

9. Audio signal format according to claims 1-8, wherein the said directions are distributed with a larger proportion of directions in areas with a relatively high density  
10 of sound signals than in areas with a relatively low proportion of sound signals.

10. Audio signal format according to claim 1-8, wherein the said directions are distributed with a larger proportion of directions in areas in which the human perception of sound signals is relatively sharp, e.g. in front of the head.

15

11. Method of representing an audio signal, wherein said signal is decomposed to a signal comprising N directional components and according to an audio signal format as characterized in one or more of claims 1 – 10.

20 12. Method of processing audio signals,

said signals comprising

M sub-signals ( $s_1, s_2, s_3, \dots s_M$ ),

25

each of the said sub-signals ( $s_1, s_2, s_3, \dots s_M$ ) comprising

N components ( $d_1, d_2, d_3, \dots d_N$ ),

30 each of the said components ( $d_1, d_2, d_3, \dots d_N$ ) representing a direction;

where the said sub-signals ( $s_1, s_2, s_3, \dots s_M$ ) are added to form a sum-signal ( $\Sigma s$ ) comprising

N components ( $\Sigma d_1, \Sigma d_2, \Sigma d_3, \dots \Sigma d_N$ ),

5

each of the said components ( $\Sigma d_1, \Sigma d_2, \Sigma d_3, \dots \Sigma d_N$ ) representing a direction,

each of the said components ( $\Sigma d_1, \Sigma d_2, \Sigma d_3, \dots \Sigma d_N$ ) being the sum of the said M sub-signals ( $s_1, s_2, s_3, \dots s_M$ ) corresponding components ( $d_1, d_2, d_3, \dots d_N$ ).

10

13. Method of processing audio signals,

said signals comprising

15 

M sub-signals ( $s_1, s_2, s_3, \dots s_M$ ),

each of the said sub-signals ( $s_1, s_2, s_3, \dots s_M$ ) comprising

N components ( $d_1, d_2, d_3, \dots d_N$ ),

20

each of the said components ( $d_1, d_2, d_3, \dots d_N$ ) representing a direction;

where said sub-signals ( $s_1, s_2, s_3, \dots s_M$ ) are results of a room-simulation using room-simulators, preferably multi-directional room-simulators,

25

where the said sub-signals ( $s_1, s_2, s_3, \dots s_M$ ) are added to form a sum-signal ( $\Sigma s$ ) comprising

N components ( $\Sigma d_1, \Sigma d_2, \Sigma d_3, \dots \Sigma d_N$ ),

30

each of the said components ( $\Sigma d_1, \Sigma d_2, \Sigma d_3, \dots \Sigma d_N$ ) representing a direction,

each of the said components ( $\Sigma d_1, \Sigma d_2, \Sigma d_3, \dots \Sigma d_N$ ) being the sum of the said M sub-signals ( $s_1, s_2, s_3, \dots s_M$ ) corresponding components ( $d_1, d_2, d_3, \dots d_N$ ).

5 14. Method of representing an audio signal (AS), said method comprising the step of establishing at least two directional signal components (M), said directional signal components (M) preferably being uncorrelated.

10 15. Method of representing an audio signal according to claim 14, whereby the said audio signal is a room processed signal.

15 16. Method of combining signals established according to the method according to claim 14 and 15, whereby at least two audio signals (AS) are combined into one signal by means of an adding.

17. Method of decoding a number (M) of directional components into a, preferably lower, number (N) of directional components, said method comprising the step a transforming of the input directional components to a number N of output directional components,  
20 said input directional components representing a room simulated audio signal, said input directional components being preferably uncorrelated.

18. Rendering system comprising at least one input for receiving a number (M) of directional components (DC), said rendering system comprising means for trans-  
25 forming the input directional components into a number (N) of output channels according to at least one rendering method stored in associated storing means (MSM).

19. Rendering system according to claim 18,  
wherein one at least of the said methods implies a transforming according to a gain  
30 matrix.

20. Rendering system according to claim 18 or 19,



wherein the said method stored in the said storing means may be exchanged by means of a suitable software transmitting and/or receiving interface.

21. Rendering system according to claims 18-20,

5 wherein the rendering system comprises a user interface adapted for selecting at least two different predefined rendering methods stored in said storing means.

22. Rendering system according to claims 18-21, wherein

10 said system comprising a set of output channel (OC) connectors of which the rendering method may define a subset of output channel connectors to be activated when applying the transforming defined by the said.

23. Multi-channel data carrier, said data carrier comprising a number (M) of audio channels (M), at least two of said channels representing a directional signal with re-

15 spect to a virtual listener/reference position (VLP).

24. Multi-channel data carrier according to claim 23, wherein the directional channels are established independently of a subsequent rendering

20 25. Multi-channel data carrier according to claim 23 or 24, wherein the number of directional channels are at least eight, preferably at least twenty.

26. Multi-channel data carrier according to claim 23-25,

25 wherein at least two of the directional channels are uncorrelated.

27. Multi-channel data carrier according to claim 23-26,

wherein at least two of the directional channel are stored at the data carrier in a compressed state.

1/14

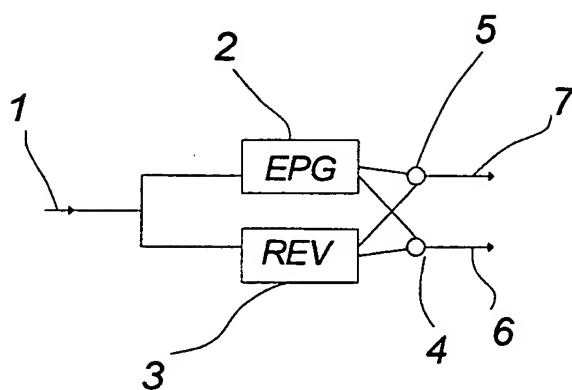


Fig.1

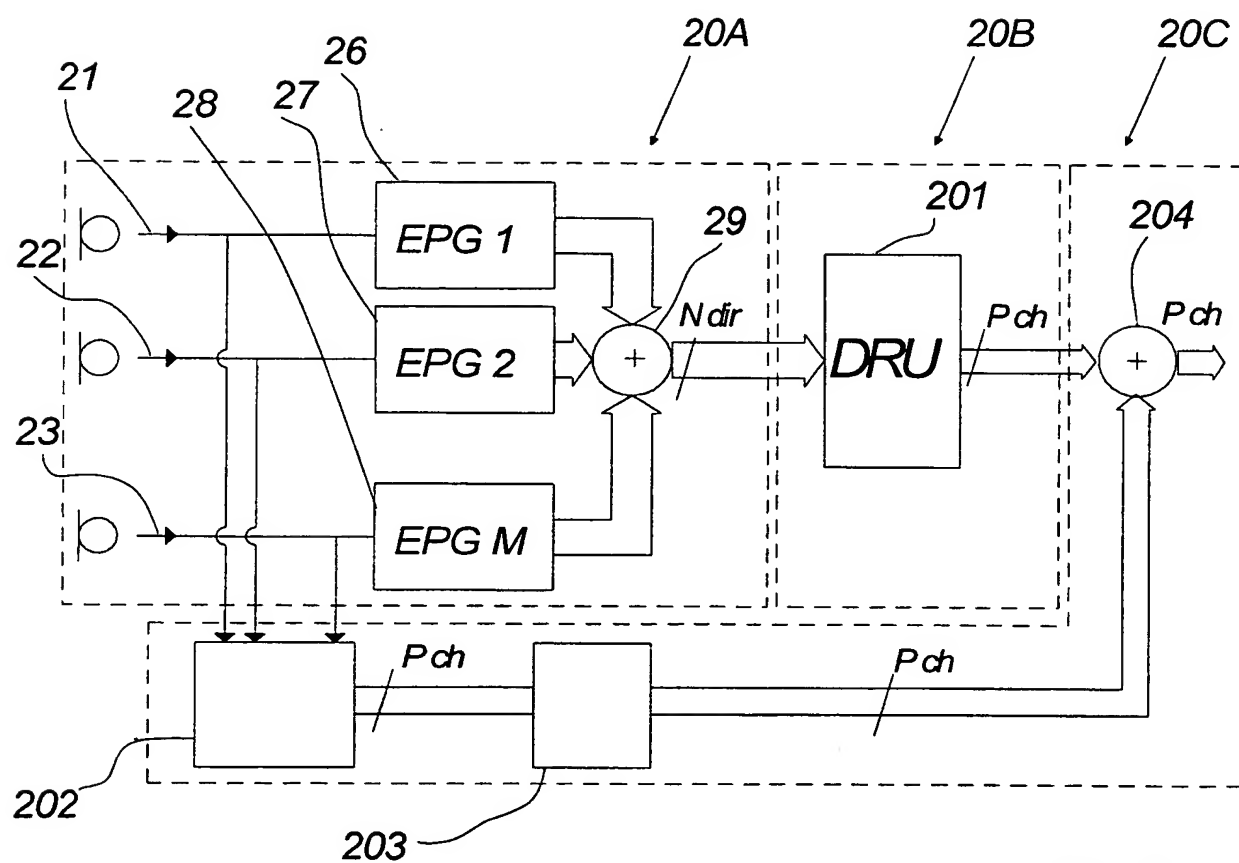


Fig.2

2/14

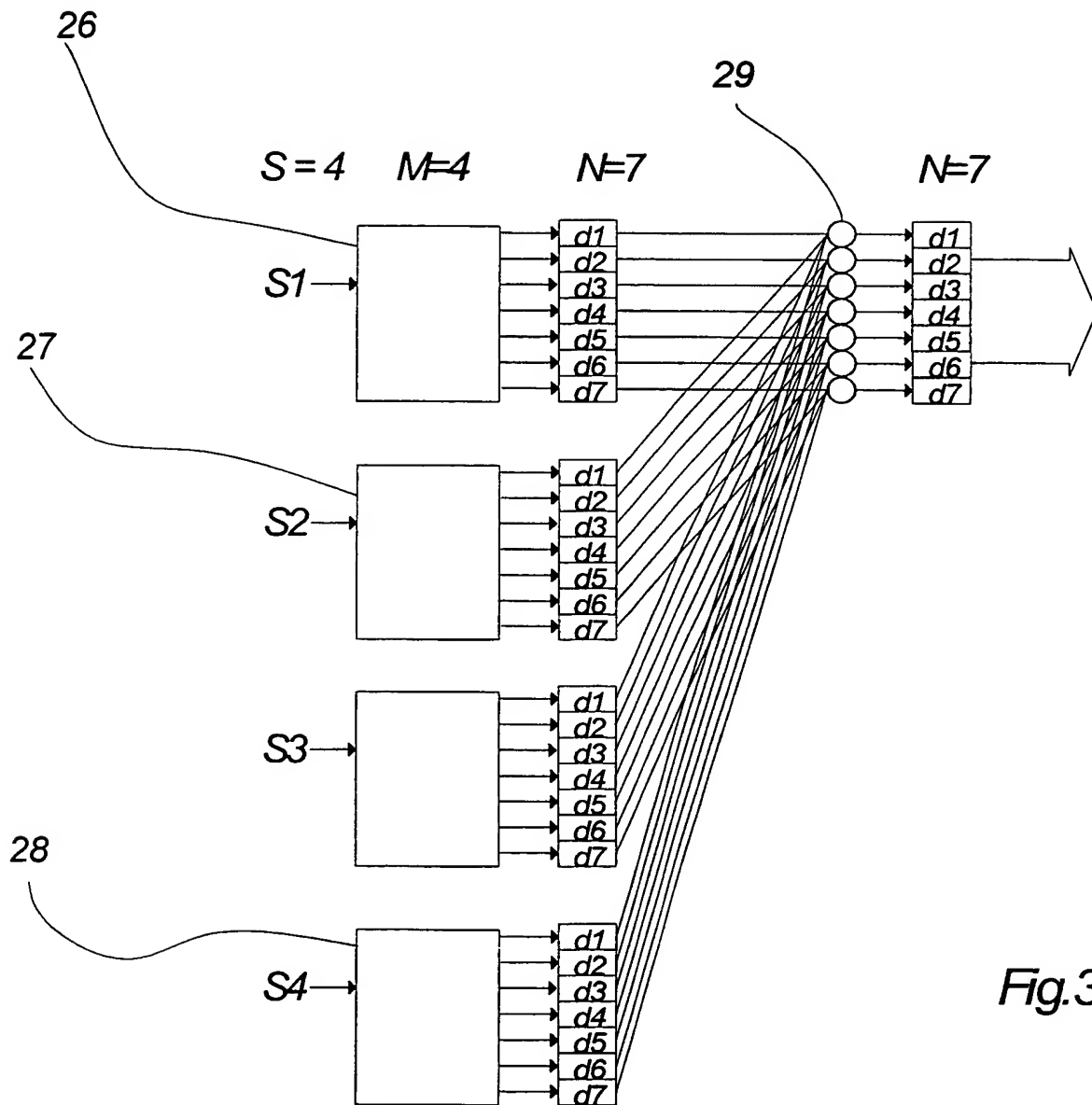


Fig. 3a

3/14

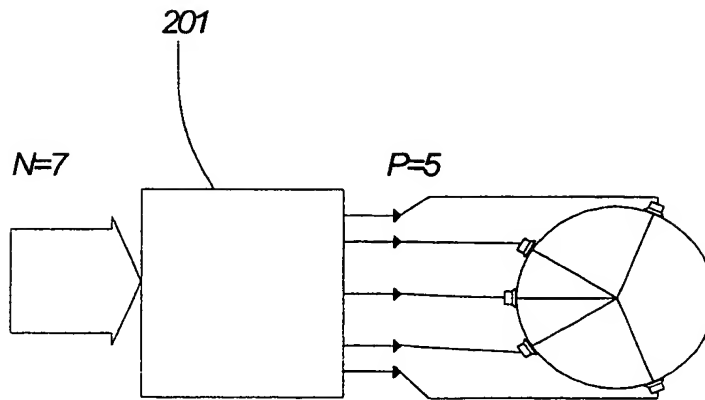


Fig.3b

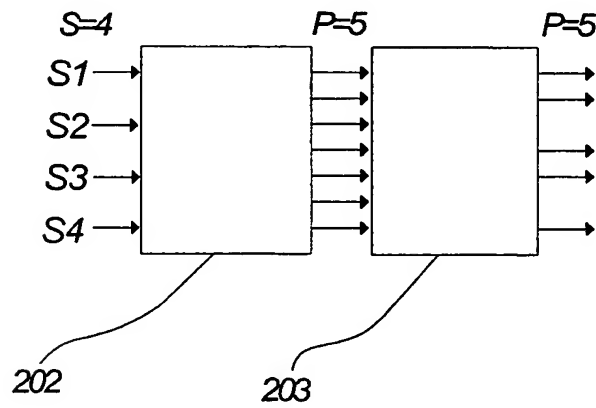
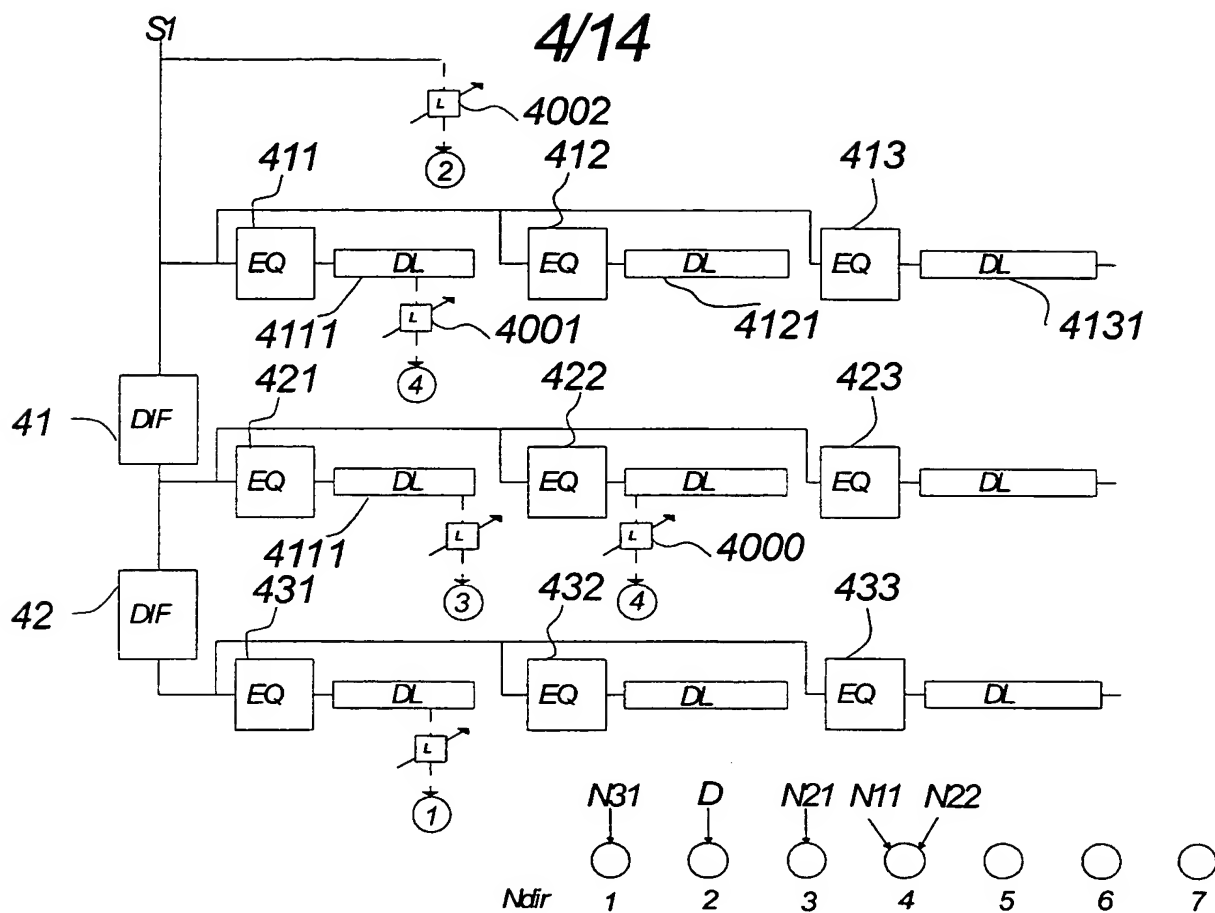
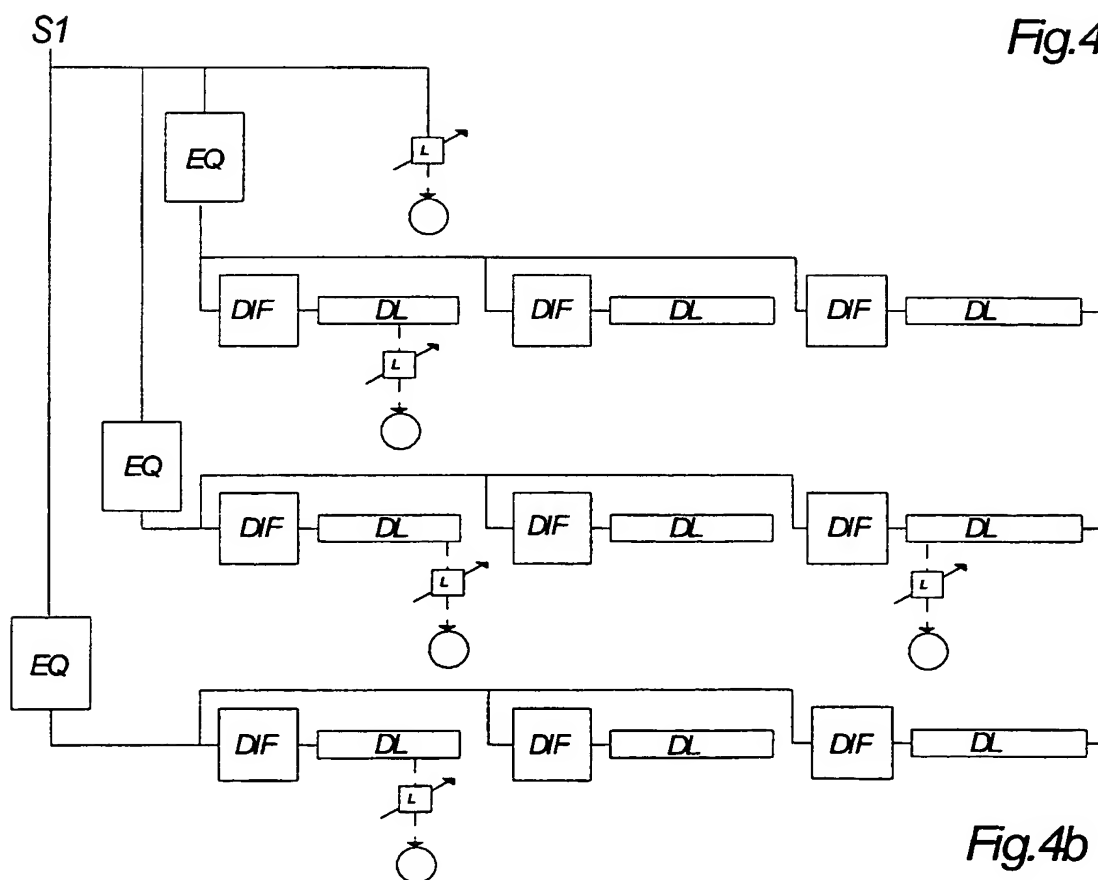


Fig.3c



**Fig.4a**



**Fig.4b**

5/14

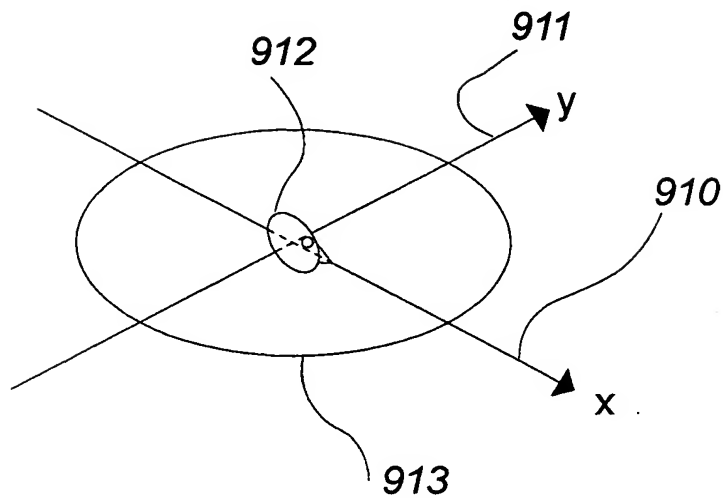


Fig. 5

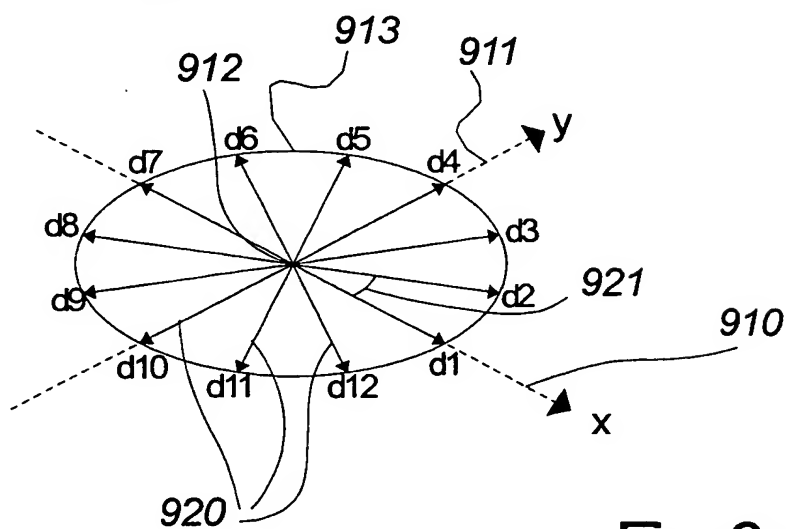


Fig. 6a

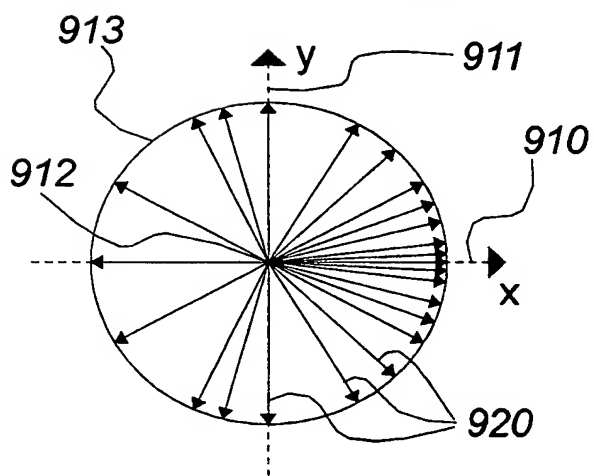


Fig. 6b

6/14

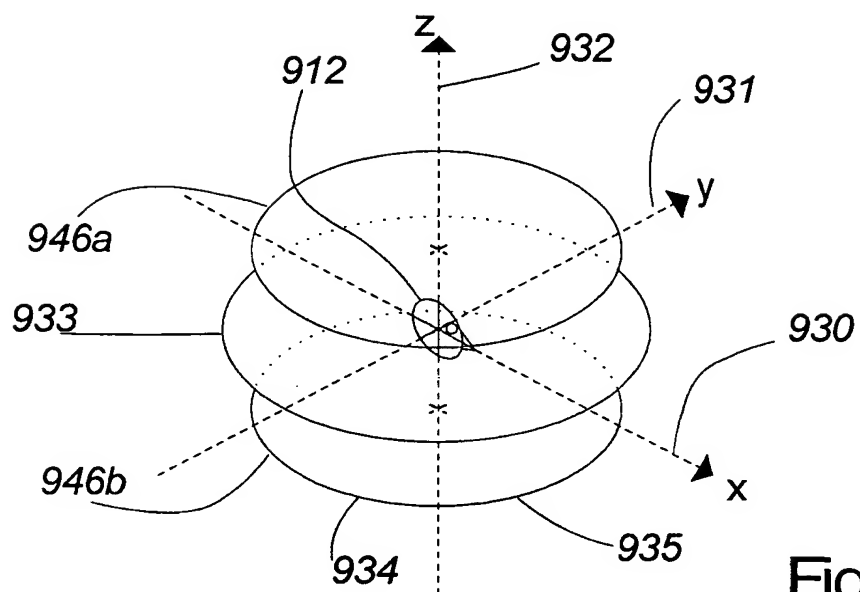


Fig. 7

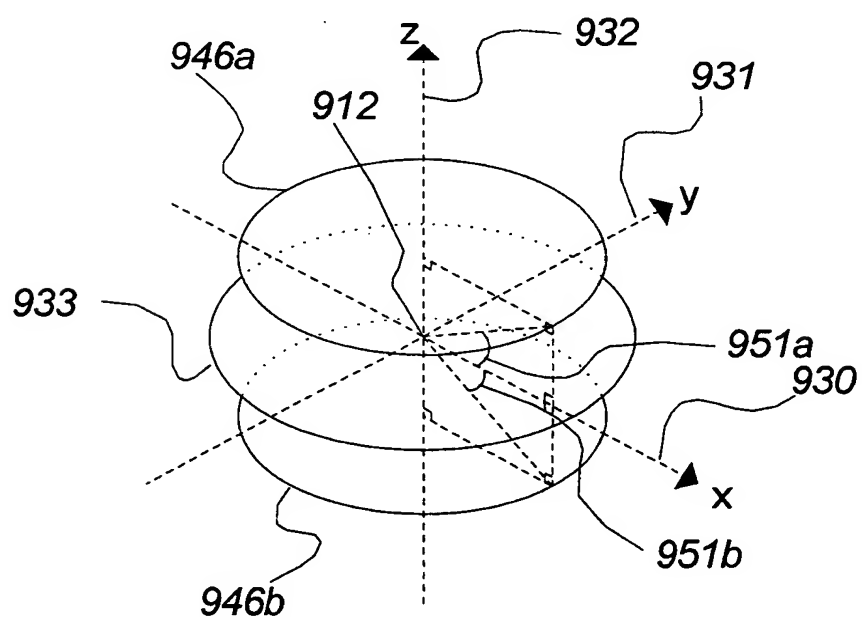
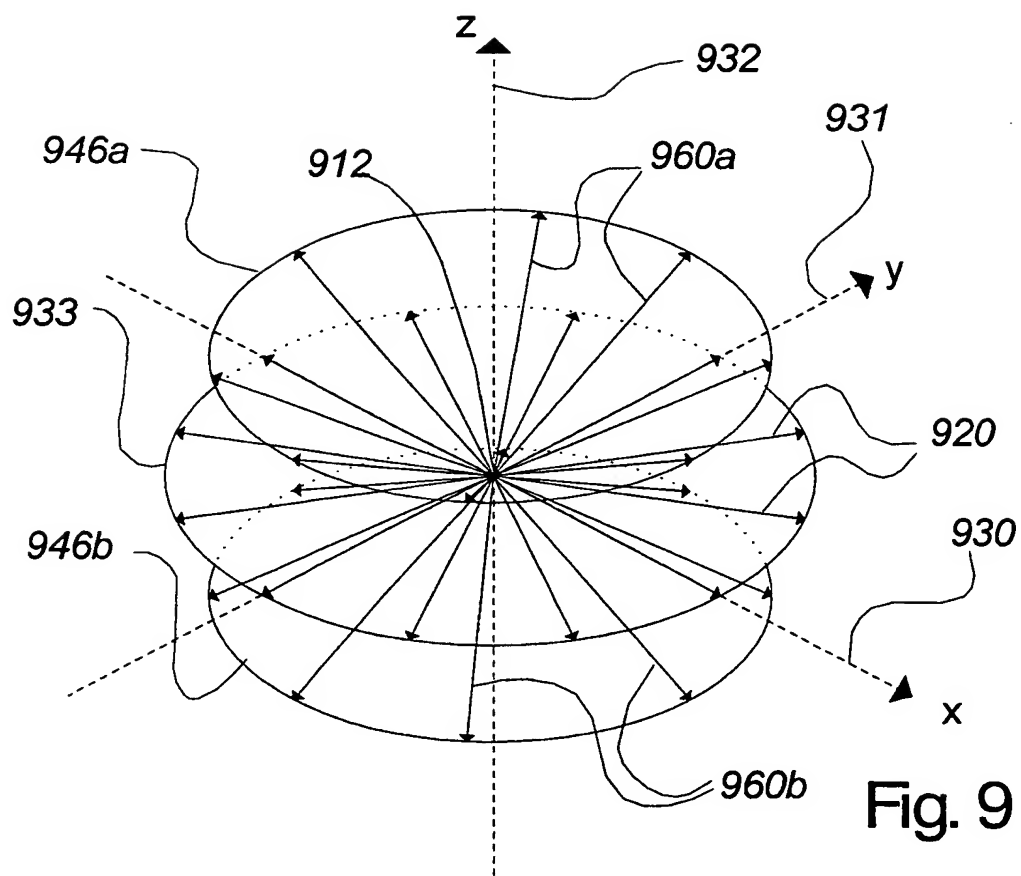


Fig. 8

7/14





8/14

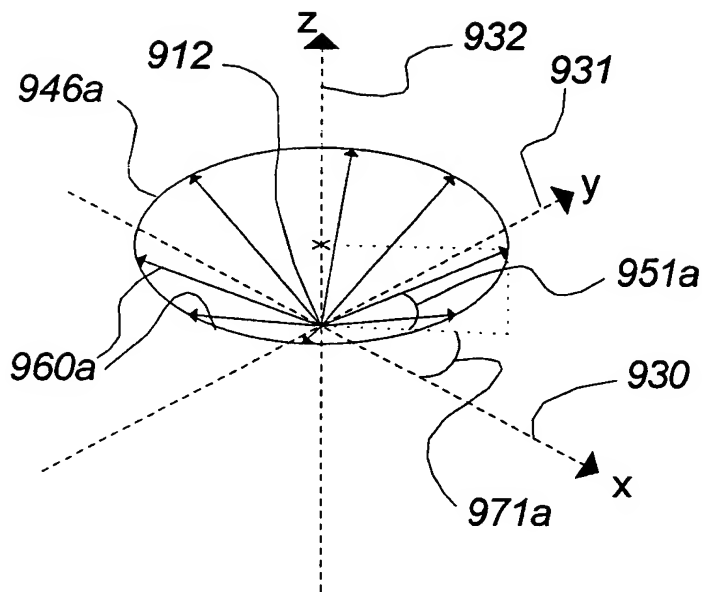


Fig. 10a

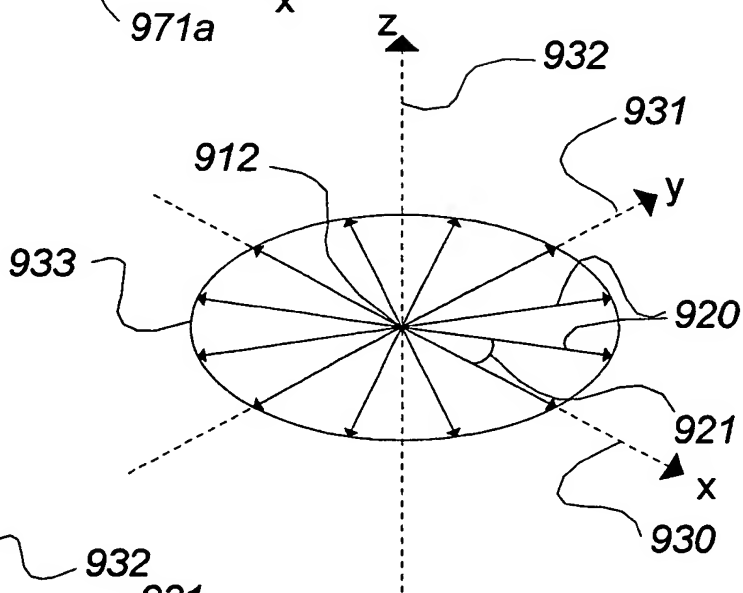


Fig. 10b

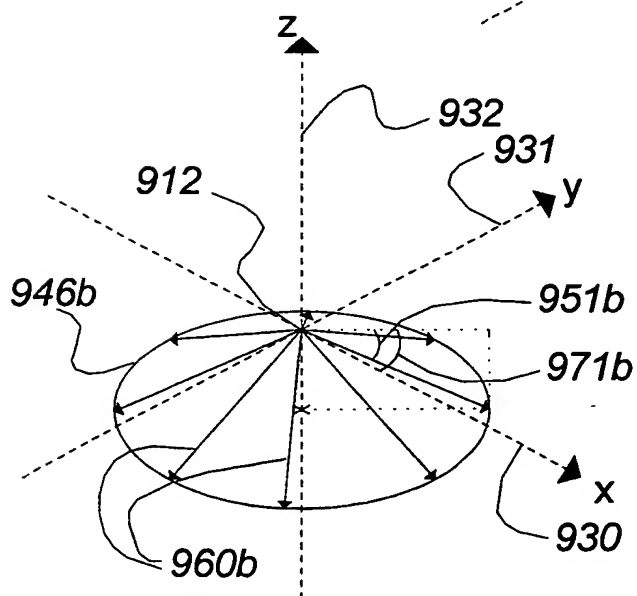
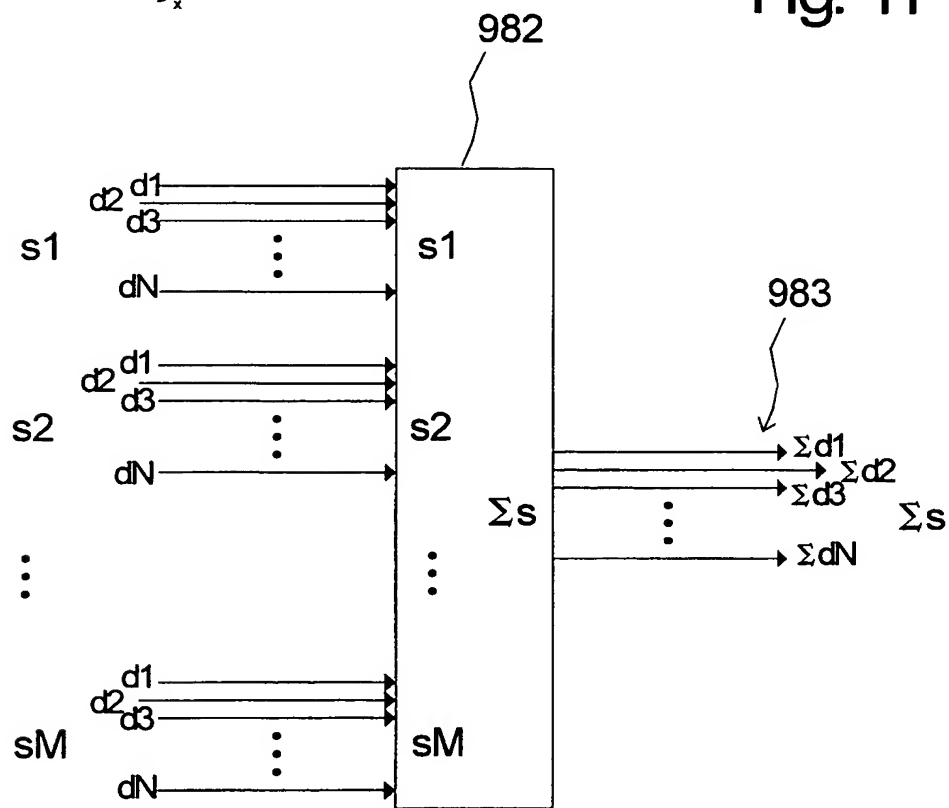
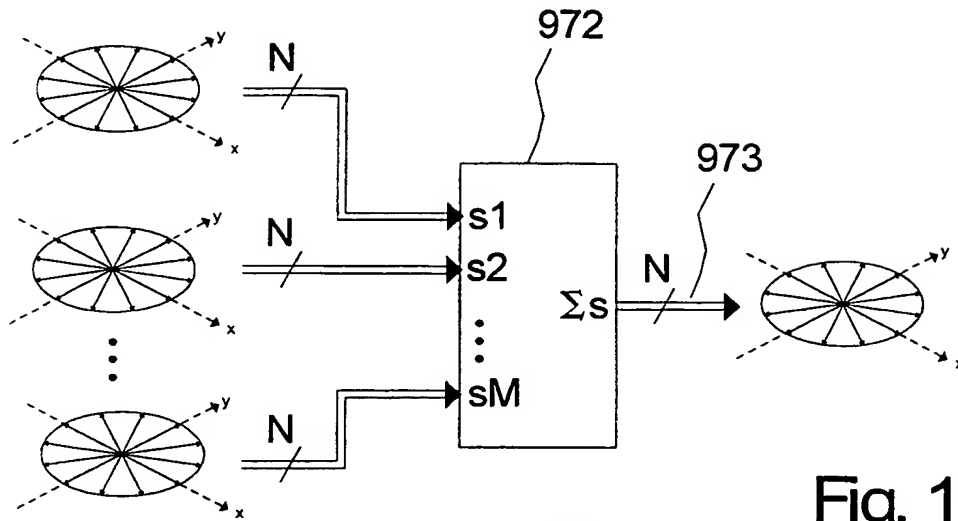


Fig. 10c

9/14



10/14

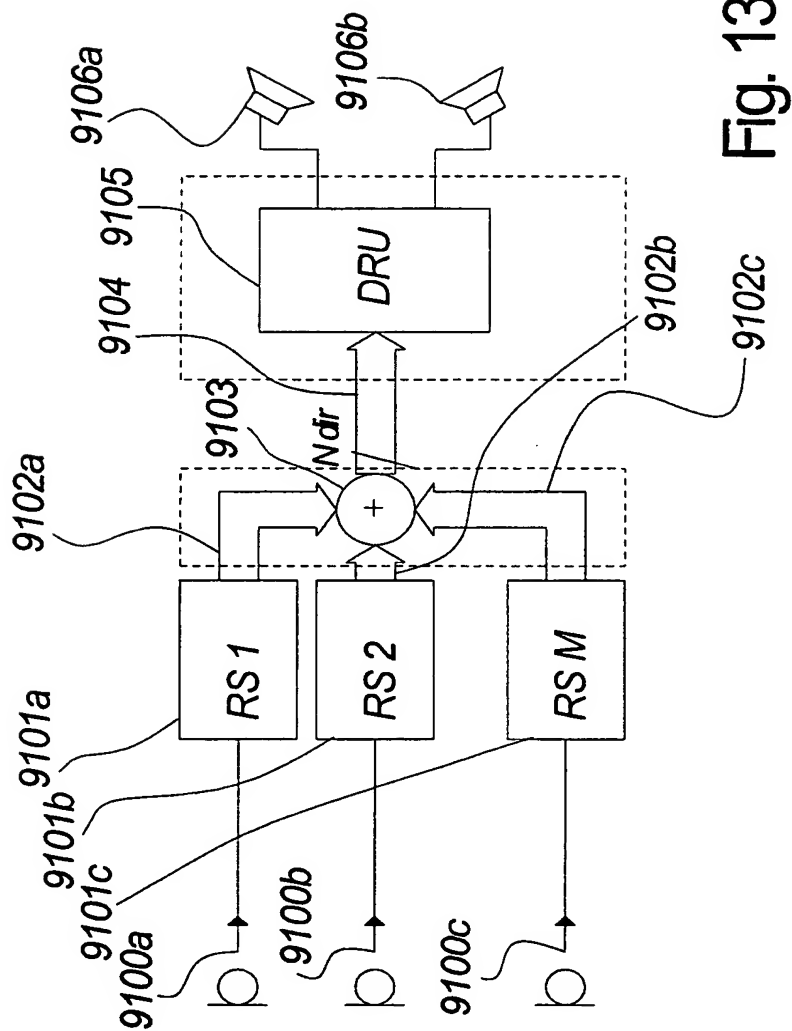


Fig. 13

11/14

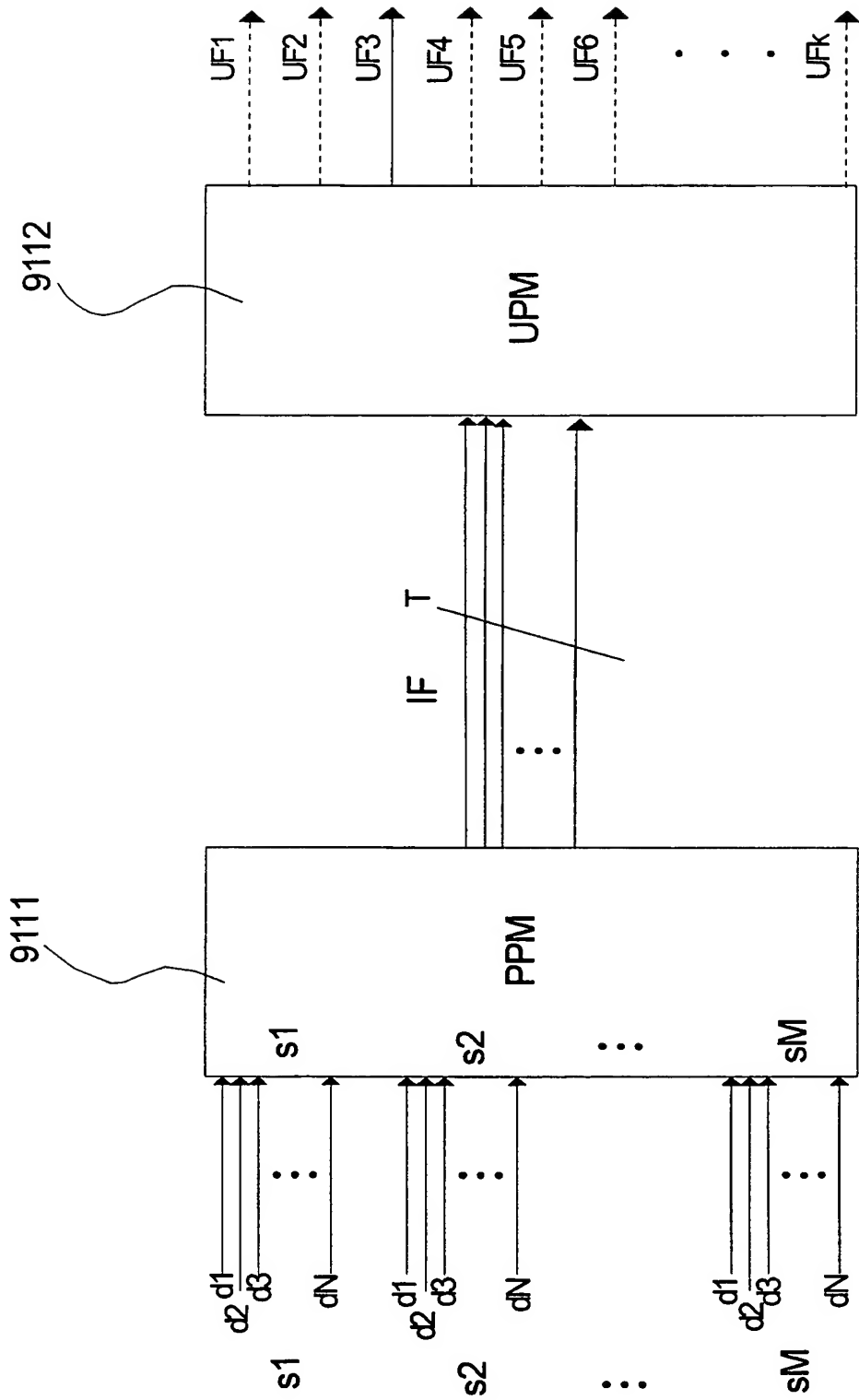


Fig. 14

12/14

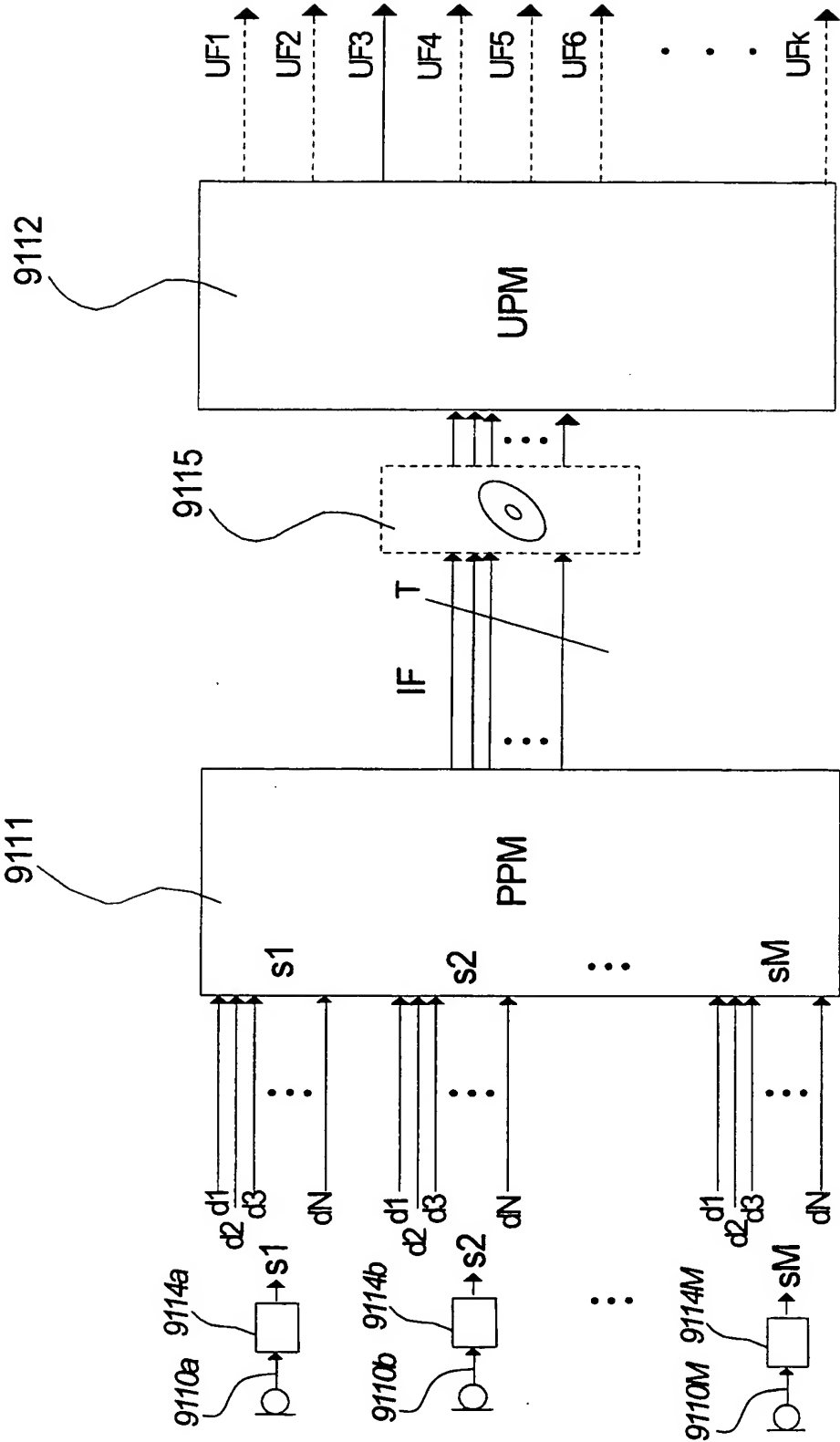


Fig. 15

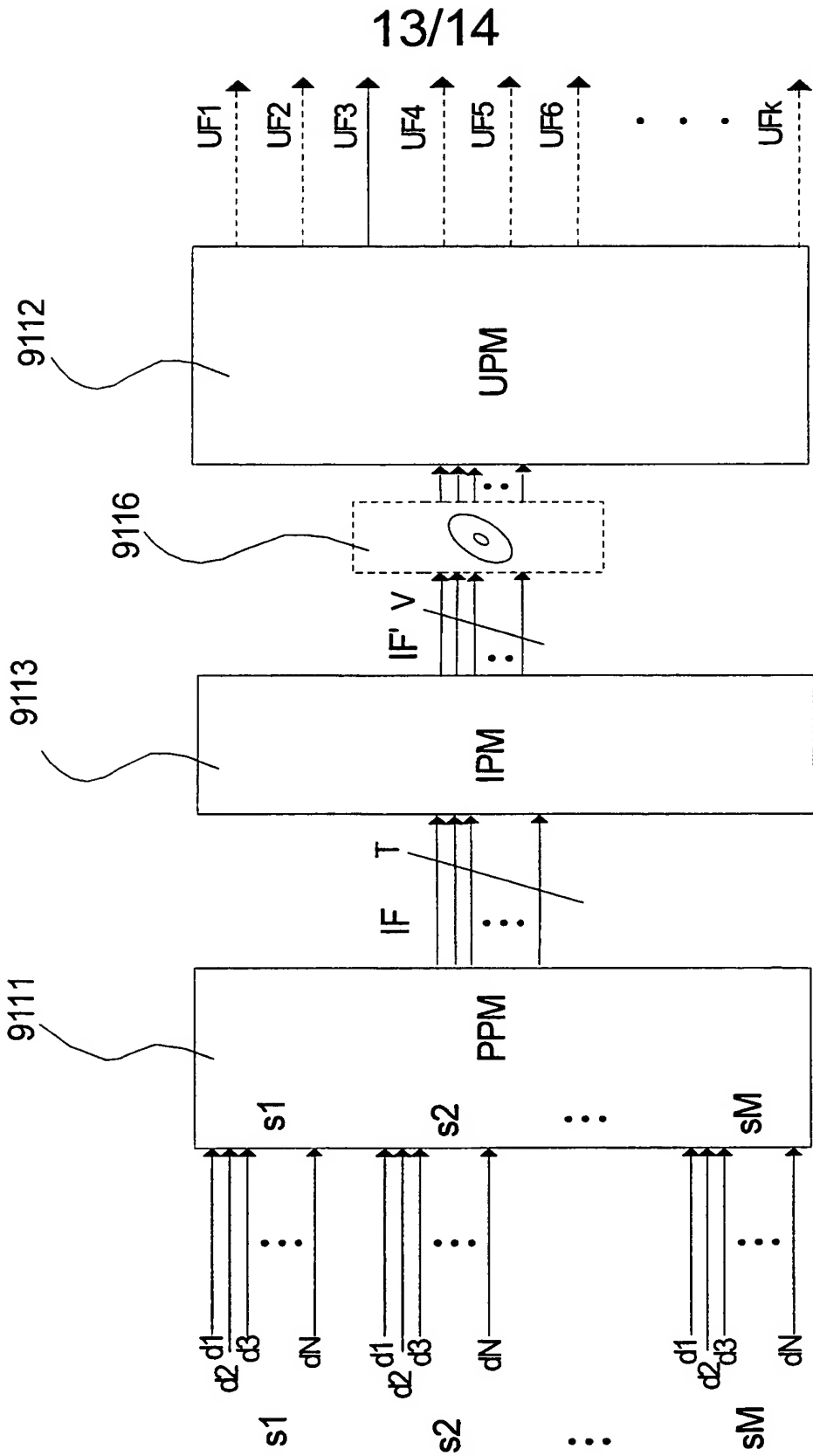


Fig. 16

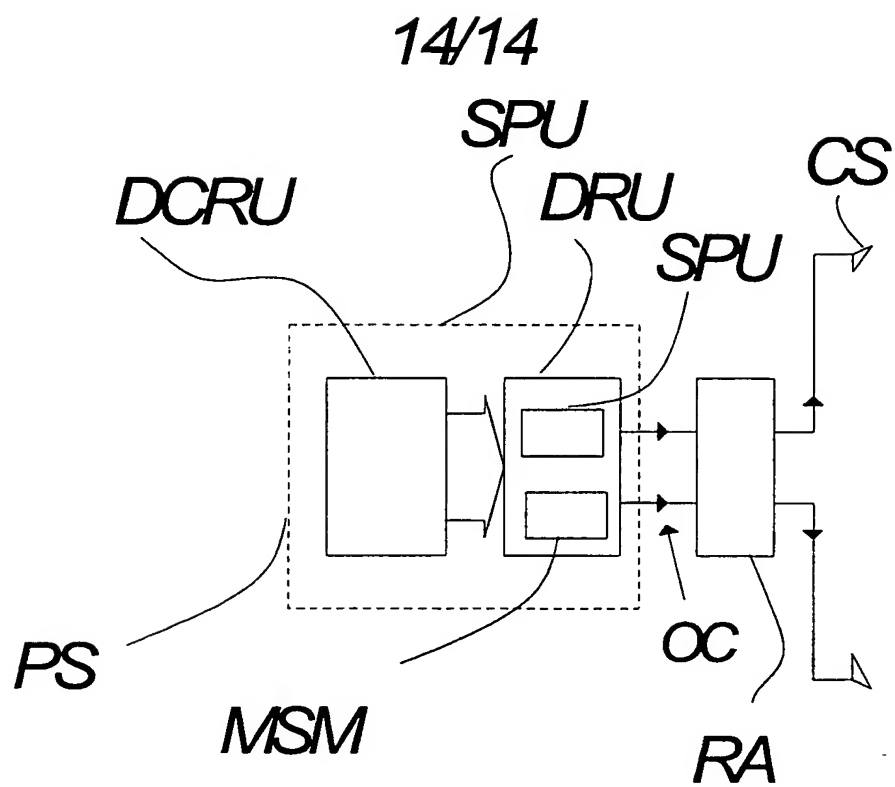


Fig.17

# INTERNATIONAL SEARCH REPORT

International Application No

PCT/DK 00/00443

## A. CLASSIFICATION OF SUBJECT MATTER

IPC 7 G10H1/00

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 7 G10H

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

EPO-Internal, WPI Data

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	US 4 731 848 A (KENDALL GARY ET AL) 15 March 1988 (1988-03-15) column 5, line 48 -column 7, line 47; figure 2A	1-26
A	US 5 585 587 A (TORIMURA HIROYUKI ET AL) 17 December 1996 (1996-12-17) column 2, line 46 -column 3, line 58 column 4, line 27 -column 5, line 65; figures 1,2	12-26
A	US 5 452 360 A (SHIMIZU YASUSHI ET AL) 19 September 1995 (1995-09-19) column 6, line 5 - line 60; figures 8-11	12-26
	-/--	

☒ Further documents are listed in the continuation of box C.

☒ Patent family members are listed in annex.

### \* Special categories of cited documents :

- \*A\* document defining the general state of the art which is not considered to be of particular relevance
- \*E\* earlier document but published on or after the international filing date
- \*L\* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- \*O\* document referring to an oral disclosure, use, exhibition or other means
- \*P\* document published prior to the international filing date but later than the priority date claimed

- \*T\* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
- \*X\* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
- \*Y\* document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.
- \*&\* document member of the same patent family

Date of the actual completion of the international search

24 November 2000

Date of mailing of the international search report

01/12/2000

Name and mailing address of the ISA

European Patent Office, P.B. 5818 Patentlaan 2  
NL - 2280 HV Rijswijk  
Tel. (+31-70) 340-2040, Tx. 31 651 epo nl,  
Fax: (+31-70) 340-3016

Authorized officer

Pulluard, R



# INTERNATIONAL SEARCH REPORT

International Application No

PCT/DK 00/00443

## C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	<p>ROCCHESSE D ET AL: "CIRCULANT AND ELLIPTIC FEEDBACK DELAY NETWORKS FOR ARTIFICIAL REVERBERATION"            IEEE TRANSACTIONS ON SPEECH AND AUDIO PROCESSING,US,IEEE INC. NEW YORK,            vol. 5, no. 1, 1997, pages 51-63,            XP000785329            ISSN: 1063-6676            page 61, left-hand column, line 6            -right-hand column, line 48            ---</p>	12-26
A	<p>EP 0 593 228 A (MATSUSHITA ELECTRIC IND CO LTD) 20 April 1994 (1994-04-20)            column 3, line 11 -column 4, line 43            column 8, line 38 -column 9, line 25;            figures 1,2,5            -----</p>	12-26

# INTERNATIONAL SEARCH REPORT

Information on patent family members

International Application No

PCT/DK 00/00443

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
US 4731848 A	15-03-1988	AT 57281 T DE 3580035 D EP 0207084 A JP 62501105 T WO 8602791 A	15-10-1990 08-11-1990 07-01-1987 30-04-1987 09-05-1986
US 5585587 A	17-12-1996	JP 7092968 A US 5771294 A	07-04-1995 23-06-1998
US 5452360 A	19-09-1995	JP 2569872 B JP 3254298 A	08-01-1997 13-11-1991
EP 0593228 A	20-04-1994	DE 69327501 D JP 7168587 A US 5467401 A	10-02-2000 04-07-1995 14-11-1995